



UNIVERSIDADE FEDERAL DE MINAS GERAIS – UFMG
PROGRAMA DE PÓS-GRADUAÇÃO EM ECOLOGIA, CONSERVAÇÃO
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**Ecologia de flebotomíneos (Diptera: Psychodidae) coletados em cavernas do estado
de Minas Gerais, Brasil**

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Tese apresentada ao programa de Pós-Graduação em Ecologia, Conservação e Manejo da Vida Silvestre – PPG-ECMVS da Universidade Federal de Minas Gerais, como requisito parcial para obtenção do título de Doutor em Ecologia.

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Introdução Geral

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26 Os flebotomíneos (Diptera: Psychodidae) são dípteros que em todo mundo são
27 conhecidas, aproximadamente 900 espécies, das quais, mais de 500 estão presentes na
28 região Neotropical e destas, mais de 260 já foram registradas no Brasil (Galati 2003a,
29 Ready 2013, Andrade et al. 2014). No Brasil estes insetos estão presentes em todo o
30 território nacional onde aproximadamente 40 espécies são comprovadas ou suspeitas de
31 transmitirem *Leishmania* (Rangel & Lainson 2009), o agente causador das
32 leishmanioses em humanos e outros mamíferos (Forattini 1973, Young & Duncan
33 1994).

34 O gênero *Leishmania* (Kinetoplastida: Trypanosomatidae) infecta uma ampla
35 variedade de vertebrados mamíferos (domésticos e selvagens): roedores, canídeos,
36 edentados (tatu, preguiça, tamanduá), marsupiais (gambás), primatas incluindo humanos
37 (Deane & Deane 1954, Lainson et al. 1985, Shaw 2003). O gênero é subdividido em
38 dois subgêneros, *Viannia* e *Leishmania*, (Lainson and Shaw 1987) e compreende
39 aproximadamente 30 espécies, das quais cerca de 20 são patogênicas para a espécie
40 humana (Lainson & Shaw 1998, Ashford 2000, Desjeux 2004, Ready 2013). Entre as
41 parasitoses humanas, as leishmanioses ocupam um lugar de destaque, devido à sua
42 importância médica e econômica sendo um dos maiores problemas de saúde pública
43 (WHO 1990, Barral et al. 1991).

44 As leishmanioses compreendem um espectro de doenças infecciosas,
45 consideradas zoonoses (Rotureau 2006). As leishmanioses podem ser divididas em dois
46 grupos principais: 1- Leishmaniose Visceral (LV) - conhecida como calazar, causada
47 pelas espécies do subgênero *Leishmania* (*Leishmania*), sendo representada no Brasil
48 pela espécie *Le. (Leishmania) infantum* (Magill 2000) e 2- Leishmaniose Tegumentar
49 Americana (LTA), do subgênero *Leishmania* (*Leishmania*), sendo representada no
50 Brasil pela espécie *Le. (Leishmania) amazonensis* e do subgênero *Leishmania*

51 (*Viannia*), sendo representada no Brasil pelas espécies *Le. (Viannia) braziliensis*, *Le.*
52 (*Viannia*) *guyanensis*, *Le. (Viannia) lainsoni*, *Le. (Viannia) naiffi*, *Le. (Viannia)*
53 *lindenbergi* e *Le. (Viannia) shawi* (Grimaldi & Tesh 1993, Cunningham 2002, Ashford
54 2000).

55 A LV é uma zoonose de evolução crônica de grande importância epidemiológica
56 nas Américas, sobretudo no Brasil, devido a sua alta incidência, ampla distribuição
57 geográfica, podendo apresentar formas graves que levam a morte, se não tratadas
58 adequadamente (Gontijo & Melo 2004). Os sintomas mais comuns da LV humana são
59 fraqueza, perda de peso, febre intermitente, anemia, anorexia, pancitopenia e
60 esplenomegalia com ou sem hepatomegalia (WHO 2010).

61 As infecções por LTA se manifestam frequentemente em áreas expostas do
62 corpo como face, membros e pescoço. No local da picada surgem lesões cutâneas que
63 podem evoluir para diversas formas: cutânea localizada, disseminada, difusa e mucosa
64 (Nadim Faghieh 1968, Amato 2003).

65 A transmissão da infecção para os vertebrados ocorre por meio da picada de
66 fêmeas de flebotomíneos pertencentes a diversos gêneros da subfamília Phlebotominae
67 infectadas com o parasito (Galati 2003).

68 Os flebotomíneos adultos, machos e fêmeas, alimentam-se de sucos vegetais,
69 néctar de flores, frutos, soluções açucaradas e de sangue, estando este último hábito
70 restrito às fêmeas (Deane & Deane 1957, Forattini 1960, Sherlock & Sherlock 1972,
71 Alexander & Usma 1994). Os carboidratos são utilizados como fonte de energia,
72 necessária para o metabolismo geral do inseto, servindo para as atividades de voo, corte,
73 cópula e dispersão e, no caso das fêmeas o sangue serve para a maturação de seus
74 ovários (Sherlock 2003). Esses insetos podem ser encontrados em ambientes urbanos,
75 rurais e silvestres, incluindo as cavernas (Galati et al. 2003a, b; Alves et al. 2008, Alves

76 et al. 2011, Campos et al. 2013) e suas formas imaturas se desenvolvem em solo úmido,
77 protegido da incidência direta da luz, do vento e rico em matéria orgânica (Forattini
78 1973).

79 Os flebotomíneos apresentam atividades crepusculares e noturnas, embora
80 algumas espécies se mostram ativas, sob determinadas condições ambientais durante o
81 dia (Teodoro et al. 1993, Young & Duncan 1994, Sherlock 2003), como observado em
82 diferentes ambientes cavernícolas (Guernaoui et al. 2006, Galati et al. 2010, Alves et al.
83 2011, Fuenzalida et al. 2011, Polseela et al. 2011, Carvalho et al. 2012).

84 As cavernas são consideradas ambientes com pequena variação de temperatura e
85 umidade no seu interior, ausência de luz nas áreas mais afastadas da entrada e condições
86 oligotróficas (Poulson & White 1969, Trajano & Gnaspini-Netto 1991). São habitats
87 únicos habitados por uma biota diversificada e singular (Poulson & White 1969). De
88 acordo com Holsinger & Culver (1988), os organismos cavernícolas podem ser
89 classificados em três categorias: troglóbios (os restritos às cavernas e por isso tendem a
90 apresentar diversos tipos de especializações morfológicas, fisiológicas e
91 comportamentais), troglófilos (os adaptados às cavernas, mas podem completar seu
92 ciclo de vida dentro ou fora delas) e trogló Xenos (os que utilizam regularmente as
93 cavernas como abrigo, refúgio, local de alimentação ou reprodução).

94 Esses ambientes, embora aparentemente inóspitos, podem abrigar muitas
95 espécies de vertebrados e invertebrados, incluindo os flebotomíneos (Ferreira & Horta
96 2001, Galati et al. 2003a, 2003b, 2003c; Alves et al. 2008, Ferreira et a. 2009, Carvalho
97 et al. 2011, 2012, 2013, Matavelli et al. 2015, Saraiva et al. 2015).

98 Estudos realizados dentro de cavernas têm mostrado resultados interessantes,
99 tais como, registros de novas espécies de flebotomíneos (Galati et al. 2003a, b),
100 diversidade desses insetos em cavernas iguais ou maiores do que o encontrada na

101 floresta e composição de espécies distinta entre caverna e seu entorno (Galati et al.
102 2003c, 2006, 2010; Alves et al. 2008, Carvalho et al. 2013).

103 O estudo englobou cavernas e seus respectivos entornos de quatro localidades
104 distintas no estado de Minas Gerais: Parque Estadual Serra do Rola Moça, Parque
105 Estadual Serra do Ouro Branco, Moeda Sul e o município de Pains.

106 O Parque Estadual Serra do Rola Moça, Parque Estadual Serra do Ouro Branco e
107 Moeda Sul estão localizados na região do Quadrilátero Ferrífero. O Quadrilátero
108 Ferrífero é uma região rica em campos ferruginosos “canga” e está localizado dentro de
109 duas das maiores bacias hidrográficas do Brasil, Rio Doce e Rio São Francisco. Uma
110 região com grande quantidade de cavidades naturais cadastradas (Do Carmo et al. 2011,
111 CECAV 2016) inseridas numa zona de transição de Cerrado e Mata Atlântica, rico em
112 campos ferruginosos e de altitude além das áreas de Capoeira (formações secundárias)
113 (Jacobi & Do Carmo 2008, IEF 2016). Esta região apresenta altos níveis de
114 biodiversidade e endemismo, ocorrência de relativamente grandes áreas naturais,
115 presença de bacias hidrográficas relevantes para o fornecimento de água para um dos
116 maiores centros urbanos do Brasil, e sofre com pressões antrópicas associadas
117 principalmente com atividades de mineração, exploração imobiliária e urbanização
118 (Fernandes et al. 2014, Sonter et al. 2014).

119 O município de Pains está localizado no centro-oeste de Minas Gerais, distante
120 230 km de Belo Horizonte. A região faz parte de um complexo que contempla a
121 existência de um grande número de cavidades naturais. As cavernas utilizadas neste
122 estudo estão inseridas em áreas remanescentes do bioma do Cerrado. Essa região
123 localiza-se entre a bacia hidrográfica do Alto São Francisco e a bacia hidrográfica do
124 Rio Grande (SEE 2016). A área estudada sofre com os impactos antrópicos da
125 mineração, calcinação, agricultura.

126 O estudo se propôs em responder questões sobre os padrões que determinam a
127 distribuição da fauna de flebotomíneos dentro e fora dos ambientes cavernícolas e
128 também compreender a atividade diária desses insetos, o que pode ajudar a esclarecer
129 questões relativas ao período de maior risco de transmissão de *Leishmania* entre os
130 flebotomíneos e os hospedeiros vertebrados que visitam ou vivem nessas cavernas,
131 incluindo o homem. Esta tese é apresentada na forma de dois capítulos: 1) Diferença de
132 fotoperíodo na riqueza e abundância de flebotomíneos (Diptera: Psychodidae) em
133 cavernas do estado de Minas Gerais, Brasil (publicado no periódico Journal of Medical
134 Entomology); 2) Substituição de espécies e diferença de abundância entre comunidades
135 de flebotomíneos (Diptera: Psychodidae) de cavernas (será submetido no mesmo
136 periódico). O primeiro artigo teve como objetivo testar a hipótese que prediz que o
137 interior (zona afótica) das cavernas possuem maior riqueza e abundância de espécies de
138 flebotomíneos durante o período noturno do que durante o período diurno e o segundo
139 capítulo foi testar se existe alta diversidade beta entre cavernas e seus entornos, e se essa
140 alta diversidade beta realmente existe, ela é mais influenciada pela substituição de
141 espécies do que por diferença de abundância.

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334 **Chapter 1- Photoperiod differences in sand fly (Diptera: Psychodidae) species**

335 **richness and abundance in caves in Minas Gerais state, Brazil**

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Photoperiod Differences in Sand Fly (Diptera: Psychodidae) Species Richness and Abundance in Caves in Minas Gerais State, Brazil

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Abstract

Caves are unique habitats that are inhabited by a diverse and singular biota. Among these inhabitants are sand flies, which are of great epidemiological interest in the Neotropical region because they are vectors of *Leishmania*. The period of activity of these insects is usually crepuscular and nocturnal, but there are reports of diurnal activity of sand flies in caves. Thus, the aim of this study was to evaluate the periodicity of daily activity of sand flies in cave environments in the municipality of Pains, Minas Gerais. Sand flies were collected with light traps, which were operated for 5 consecutive days in the rainy season and in the dry season. Samples were collected every 12 h and separated between photophase and scotophase periods. In total, 1,777 sand flies of 23 species were collected. The most abundant species was *Lutzomyia renei* (Martins, Falcão, and Silva) (44%), followed by *Lutzomyia longipalpis* (Lutz and Neiva) (15%), *Evandromyia edwardsi* (Mangabeira) (11%), and *Micropygomyia quinquefer* (Costa Lima) (6%). The richness and abundance of total sand flies and the abundance of male and female sand flies in the aphotic zone of the caves did not differ between the photophase and scotophase, but differed between photoperiods at the entrance and at sites surrounding the caves. From our study of the daily activity of these insects in this ecotope, it will be possible to know which period of the day is of greatest risk of exposure of vertebrates who visit or live in these environments, including the human population.

Key words: aphotic zone, period of activity, photophase, scotophase, vector insect

Caves are stable environments, with little variation in the temperature and humidity of the interior microclimate, which is important for their ecosystems (Poulson and White 1969). In addition, cave environments lack light in areas distant from the entrance and present oligotrophic conditions (Trajano and Gnaspini-Netto 1991).

Among the animals that frequent cave environments are sand flies (Galati et al. 2003a, 2003b, 2003c; Alves et al. 2008; Carvalho et al. 2011, 2012, 2013; Polseela et al. 2011; De Oca-Aguilar et al. 2013; Saraiva et al. 2015). These insects are natural vectors of several microorganisms, especially protozoa of the genus *Leishmania* Ross (Forattini 1973, Young and Duncan 1994). Generally, sand flies are crepuscular and/or nocturnal, resting in natural shelters during the day, although some species may exhibit different patterns of

daily activity under certain conditions (Teodoro et al. 2003, Young and Duncan 1994, Sherlock 2003).

In caves, some species of sand flies are active during the day (Carvalho et al. 2012). Some studies have shown that the period of activity of sand flies in the aphotic zones of caves, as well as in anthropic environments, is greater in the scotophase than in the photophase, indicating that they exhibit a nocturnal circadian rhythm (Guernaoui et al. 2006, Galati et al. 2010, Fuenzalida et al. 2011, Polseela et al. 2011). However, a study conducted in a cave in the municipality of Manaus, Amazonas, found different results, with the daily activity of a species of sand fly in the interior of the cave not differing between nocturnal and diurnal periods (Alves et al. 2011). Sand flies in caves may modulate their daily activity pattern in response to bats occupancy. Bats use caves as a shelter during the

day and leave during the night to search for food (Lampo et al. 2000) and may be a source of blood for sand flies.

Little is known of the behavior of sand flies in the caves. Knowledge of the daily activity of these insects in this ecotope will describe the period of the day when there is the greatest exposure of vertebrates who visit or live in these environments to blood-feeding sand flies, including the human population. Although the studied caves are not tourist attractions, the results found in our study may be extrapolated to tourist caves where there is a large flow of people and thus greater contact with these insects, which may be important for understanding the risk of transmission of *Leishmania*.

Therefore, the aim of this study was to determine the period of daily activity of sand flies in five cave environments in the municipality of Pains, state of Minas Gerais. We tested the hypothesis that cave interiors (aphotic zone) possess greater species richness and abundance of sand flies during the nocturnal period compared with the diurnal period. This hypothesis was based on the fact that the sand flies are nocturnal, and despite the constant darkness in the aphotic zone, they are expected to respond to a circadian cycle with a greater number of individuals and species searching for food during the nocturnal period.

Materials and Methods

Study Area

The municipality of Pains forms part of a complex that possesses a large number of natural caves (CECAV 2015). The caves used in this study are localized in limestone outcroppings, contain water bodies, and receive water from outside the cave from dripping, which can create temporary ponds and even cause flooding during the rainy season. This region has the phytogeographic features of "Mata de Pains" or Deciduous *Seasonal* Forest and Cerrado (Ururahy et al. 1983). The area suffers from anthropic disturbance from mining, calcining, and agriculture.

The study took place in five cave environments and their surroundings in the municipality of Pains, state of Minas Gerais, Brazil: Gruta Santuário (20° 25'14" S and 45° 46'26" W), which is located at an altitude of 708 m above sea level (a.s.l.); Gruta Brega (20° 25'05" S and 45° 46'19" W) – 712 m a.s.l.; Gruta Camilo (20° 20'46" S and 45° 40'58" W) – 702 m a.s.l.; Gruta Isaias (20° 22'05" S and 45° 39'28" W) – 732 m a.s.l.; and Gruta Morrinho das Pedras (20° 21'25" S and 45° 39'53" W) – 678 m a.s.l. Gruta Camilo, Gruta Isaias, and Gruta Morrinho das Pedras have an aphotic zone about 20 m distance interior from the entrance, whereas in Gruta Santuário and Gruta Brega, the aphotic zone is at 40 and 100 m, respectively. All the caves were named by Centro Nacional de Pesquisa e Conservação de Cavernas (CECAV). In these caves, we observed vertebrates including lizards, snakes, rodents, and bats (Fig. 1).

Collection of Sand Flies

The collection of sand flies was performed under the license N°45636-1 of the Instituto Brasileiro do Meio Ambiente e dos Recursos Naturais Renováveis.

Sand flies were collected at each site using paired model HP automatic light traps (Pugedo et al. 2005), with one trap set from 0600 to 1800 h and a second trap set from 1800 to 0600 h. Traps were operated uninterruptedly for two consecutive 120-h periods over 5 d of sampling in the rainy season (November 2014) and in the dry season (April 2015), for a total of 240 h sampling effort for the two traps.

At each cave and its surroundings, pairs of traps were set at three sampling points: one located at the cave entrance (the photic zone), one in the interior of the cave (aphotic zone), and one in the surrounding area (about 10 m from the cave entrance), for a total of 15 trapping points at the five caves and their surroundings in each season. The traps were installed approximately 1 m above the ground in all cave environments.

After sampling, the traps were removed, the sand flies killed in glycerinated alcohol, sorted, and sexed. Males were then placed in test tubes containing 70% alcohol and females were placed in test tubes containing 6% DMSO.

Sand Fly Identification

Male specimens were mounted in Canada Balsam and identified following the classification of Galati (2003). For female identification, the head and the last three segments of the abdomen were separated and mounted in Berlese medium, with the head positioned ventrally. All specimens were deposited in the Coleção de Flebotomíneos of the Centro de Pesquisas René Rachou/Fiocruz (FIOCRUZ/ COLFLEB).

Due to the morphological similarity of the genitalia of female sand flies of the genus *Brumptomyia* França and Parrot, and the occurrence of males of three species of this genus in the studied cave environments, species identification of females of this genus was difficult. Thus, males of *Brumptomyia* were identified to species and females only to genus (i.e., *Brumptomyia* sp.).

Statistical Analysis

The effect of sampling period (diurnal and nocturnal) on the sex, richness, and abundance of sand flies collected in the interior, entrance, and surroundings of the caves was determined using a generalized linear mixed model (GLMM; Zuur et al. 2009). In these models, the sums of the richness and abundance of sand flies and the sums of the abundance of females and of males of sand flies for the 5 consecutive days of sampling of the interior, entrance, and surrounding of each cave in each season were used as response variables, while the sampling period (diurnal and nocturnal) was used as an explanatory variable and the caves and year seasons (repeated measures) as a random variable. All models were performed using the software R 3.2.4 (R Development Core Team 2016), followed by residual analysis to choose the best data distribution, which for our data was the negative binomial distribution.

Results

In total, 1,777 phlebotomine sand flies were collected, including 489 (27.5%) males and 1,288 (72.5%) females, of 23 species belonging to 11 genera (Table 1). The most abundant species was *Lutzomyia renei* (Martins, Falcão and Silva) (44%), followed by *Lutzomyia longipalpis* (Lutz and Neiva) (15%), *Evandromyia edwardsi* (Mangabeira) (11%), and *Micropygomyia quinquefer* (Costa Lima) (6%). All other species together amounted to 24% of the total (Table 1).

Cave entrances yielded 838 (47%) individuals, with the most abundant species being *Lu. renei* (39%) and *Lu. longipalpis* (26%), whereas 635 (36%) individuals were collected from the cave interiors, with *Lu. renei* (68%) also being the most abundant species. In the cave surroundings, 304 (17%) individuals were collected, with *Mi. quinquefer* (18%) being the most abundant. All species recorded in the cave interiors and surroundings were also found at the

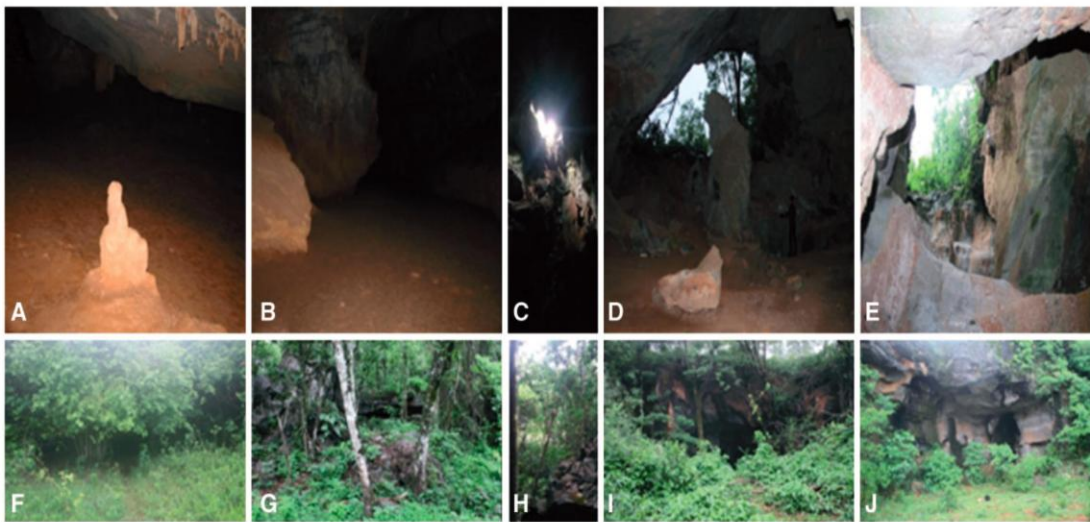


Fig. 1. The study caves and their surroundings in the municipality of Pains, Minas Gerais, Brazil. A and F, Gruta Santuário and surroundings; B and G, Gruta Brega and surroundings; C and H, Gruta Camilo and surroundings; D and I, Gruta Isaías and surroundings; and E and J, Gruta Morrinho das Pedras and surroundings.

Table 1. Phlebotomines collected from caves and their surroundings in the municipality of Pains-MG, in November 2014 and April 2015

Species	Cave environments/Periods																					
	Entrance				Total				Interior				Total				Surroundings				Total Total	
	Diurnal		Total		Nocturnal		Total		Diurnal		Total		Nocturnal		Total		Diurnal		Total		Total Total	
	♀	♂	♀	♂	♀	♂	♀	♂	♀	♂	♀	♂	♀	♂	♀	♂	♀	♂	♀	♂	♀	♂
<i>Brumptomyia avellari</i>	-	1	1	-	1	1	2	-	1	1	-	3	3	4	-	-	-	-	16	16	16	22
<i>Brumptomyia brumpti</i>	-	-	-	-	2	2	2	-	-	-	-	3	3	3	-	-	-	-	14	14	14	19
<i>Brumptomyia nitzulescui</i>	-	-	-	-	7	7	7	-	-	-	-	1	1	1	-	-	-	-	1	1	1	9
<i>Brumptomyia sp.</i>	2	-	2	7	-	7	9	1	-	1	2	-	2	3	-	-	-	39	-	39	51	
<i>Evandromyia bacula</i>	-	-	-	1	-	1	1	-	-	-	-	-	-	-	-	-	-	-	-	-	-	1
<i>Evandromyia cortelezzi</i>	4	-	4	15	5	20	24	13	2	15	8	2	10	25	1	2	3	16	7	23	26	75
<i>Evandromyia edwardsi</i>	2	-	2	43	10	53	55	37	37	62	18	80	117	2	-	2	25	5	30	32	204	
<i>Evandromyia lenti</i>	-	-	-	2	1	3	3	-	-	-	-	-	-	-	-	-	9	1	10	10	13	
<i>Evandromyia termitophila</i>	-	-	-	1	-	1	1	-	1	1	-	-	1	-	-	-	2	1	3	3	5	
<i>Expapillata firmatoi</i>	-	-	-	3	6	9	9	-	-	-	1	1	2	2	-	1	1	1	-	1	2	13
<i>Lutzomyia longipalpis</i>	2	3	5	49	168	217	222	2	13	15	4	6	10	25	-	-	-	9	21	30	30	277
<i>Lutzomyia renei</i>	159	8	167	155	8	163	330	250	19	269	132	17	149	418	3	-	3	27	5	32	35	783
<i>Micropygomyia quinquefer</i>	1	9	10	10	17	27	37	7	1	8	3	3	6	14	7	3	10	18	28	46	56	107
<i>Migonemyia migonei</i>	3	-	3	26	9	35	38	2	-	2	2	1	3	5	2	-	2	1	2	3	5	48
<i>Nyssomyia neivai</i>	-	-	-	1	-	1	1	-	-	-	-	1	1	1	-	-	-	3	2	5	5	7
<i>Nyssomyia whitmani</i>	-	-	-	1	-	1	1	-	-	-	-	-	-	-	-	-	-	4	1	5	5	6
<i>Pintomyia christenseni</i>	-	-	-	1	-	1	1	-	-	-	-	-	-	-	-	-	-	1	-	1	1	2
<i>Pintomyia fischeri</i>	-	-	-	-	1	1	1	-	-	-	-	-	-	-	-	-	-	1	1	1	1	2
<i>Pintomyia monticola</i>	1	-	1	6	-	6	7	-	-	-	-	-	-	-	-	-	6	1	7	7	14	
<i>Pintomyia pessoai</i>	1	-	1	7	6	13	14	-	-	-	-	-	-	-	1	-	1	5	-	5	6	20
<i>Psathyromyia aragaoi</i>	-	-	-	-	1	1	1	-	-	-	-	-	-	-	-	-	-	-	-	-	-	1
<i>Psathyromyia lutziana</i>	-	-	-	2	1	3	3	3	2	5	-	1	1	6	-	-	-	-	-	-	-	9
<i>Sciopemyia microps</i>	5	-	5	5	-	5	10	2	-	2	-	-	-	2	1	-	1	-	-	-	1	13
<i>Sciopemyia sordellii</i>	6	5	11	38	10	48	59	4	-	4	4	-	4	8	2	-	2	5	2	7	9	76
Total specimens per sex	186	26	212	373	253	626	838	321	39	360	218	57	275	635	19	6	25	171	108	279	304	1,777
Total species	11	5	12	19	16	24	24	10	7	12	9	12	14	16	8	3	9	16	16	20	21	23

entrance of the caves, but some species were exclusively found at the entrance.

During the diurnal period, 597 (34%) phlebotomine sand flies were collected, consisting of 360 (60%) individuals in the cave

interiors, 212 (36%) in the cave entrances, and 25 (4%) in the cave surroundings. The most abundant species during the diurnal period was *Lu. renei* (73%). The nocturnal period yielded 1,180 (66%) individuals, with 275 (23%) in the cave interiors, 626 (53%) in the

cave entrances, and 279 (24%) in the cave surroundings, with *Lu. renei* (29%), *Lu. longipalpis* (22%), and *Ev. edwardsi* (11%) being the most abundant species in these three cave environments.

All species recorded during the diurnal period were also found during the nocturnal period, but some species such as *Brumptomyia brumpti* (Larrousse), *Brumptomyia nitzulescui* (Costa Lima), *Evandromyia bacula* (Martins, Falcão and Silva), *Psathyromyia aragaoi* (Costa Lima), *Evandromyia lenti* (Mangabeira), *Nyssomyia whitmani* (Antunes and Coutinho), *Nyssomyia neivai* (Pinto), *Pintomyia christenseni* (Young and Duncan), and *Pintomyia fischeri* (Pinto) were exclusively collected during the nocturnal period.

At cave entrances during the diurnal period, the most abundant species was *Lu. renei* (79%), whereas during the nocturnal period, *Lu. longipalpis* (35%) was most common, followed by *Lu. renei* (26%). In cave interiors (aphotic area), *Lu. renei* was the most abundant species during both the diurnal and nocturnal periods (75 and 54%, respectively). In cave surroundings, *Mi. quinquefer* was always the most abundant species (40% diurnal and 16% nocturnal).

Comparing the richness and abundance of sand flies between diurnal and nocturnal periods of the interior, entrance, and surrounding environments of the caves, we observed that the richness

and abundance in the cave interiors did not differ between diurnal and nocturnal periods ($z=1.19$, $P=0.23$; $z=1.13$, $P=0.19$, respectively), but differed between diurnal and nocturnal periods in the entrance and surrounding of the caves, where there was a greater richness and abundance of sand flies during the nocturnal period (Abundance: $z=3.30$, $P=0.009$ and Richness: $z=4.42$, $P<0.001$; Abundance: $z=5.19$, $P<0.001$ and Richness: $z=5.51$, $P<0.001$, respectively; Fig. 2).

The abundance of female and male sand flies in the cave interiors did not differ between diurnal and nocturnal periods ($z=1.46$, $P=0.143$; $z=1.93$, $P=0.054$, respectively), while for entrance and surrounding of the caves, there were significant differences between the periods, with a greater abundance of females and males during the nocturnal period (Females: $z=3.33$, $P=0.008$ and $z=4.49$, $P<0.001$; Males: $z=3.47$, $P=0.0005$ and $z=4.48$, $P<0.001$, respectively; Fig. 2).

Discussion

The original hypothesis of this study was refuted, because we did not find a significant difference in richness and abundance of sand flies between nocturnal and diurnal periods in the aphotic region of

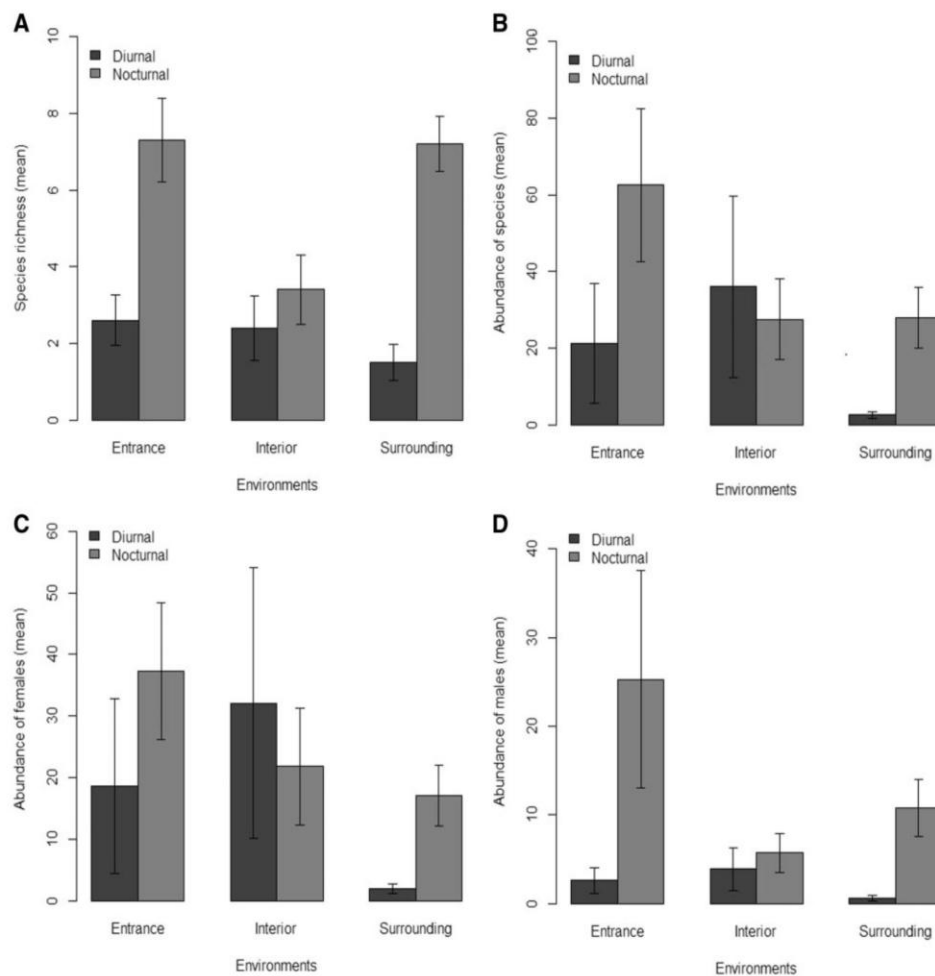


Fig. 2. Effect of sampling period (diurnal and nocturnal) on the sex, richness, and abundance of sand flies collected in the interior, entrance, and surrounding environments of the caves. A, Mean (\pm standard error) species richness of sand flies; B, mean (\pm standard error) abundance of species of sand flies; C, mean (\pm standard error) abundance of females of sand flies; D, mean (\pm standard error) abundance of males of sand flies.

the caves. This result indicated that in the cave interiors, these insects are active during both periods, which may be due to continuous resource availability. We also have not found a difference in the abundance of females and males between the photoperiods which would support that these insects are active during both periods.

Our data also suggest that in the cave interiors, entrances, and surrounding areas, sand flies exhibit differences in their activity rhythms due to the presence of sunlight that suppressed the flight activity of these insects which are mainly nocturnal.

The most abundant species in our study, *Lu. renei*, *Lu. longipalpis*, *Ev. edwardsi*, and *Mi. quinquefer*, are commonly found in cave environments (Galati et al. 2003c, 2010a; Carvalho et al. 2012, 2013). We observed that some species were collected only during the nocturnal period, some species only during the diurnal period, and some species in both periods. It is possible that these species use the caves as places for rest, protection, shelter, breeding, and feeding, as reported by Carvalho et al. (2013).

Some studies have reported similar results. Alves et al. (2011) did not find significant differences between diurnal and nocturnal periods in the number of individuals of the sand fly *Deanemyia maruaga* (Alves, Freitas & Barrett) inside a cave in the municipality of Manaus, Amazonas. Likewise, Carvalho et al. (2012) found a similar result for the distribution of total sand flies captured in the aphotic zone of a cave in the municipality of Lassance, Minas Gerais, but these authors detected peak of abundance at certain hours of both photophase and scotophase periods. Yet a study performed by Polseela et al. (2011) in caves in Thailand reported results that differed from our study, with a greater number of sand flies during the nocturnal period.

Our study also showed that all species present in the cave interiors and surroundings were also recorded at the cave entrance, which suggests that sand flies were circulating throughout these environments. A similar result was reported by Carvalho et al. (2013), who found that all the species recorded inside a cave in the municipality of Lassance, Minas Gerais, were also present in the surroundings.

One interesting and relevant finding of the present study was the high number of females captured in the caves (interior and entrance). This is significant because they feed on vertebrates and therefore can transmit *Leishmania*, the causative agent of leishmaniasis (Morrison et al. 1993, Colmenares et al. 1995, Killick-Kendrick 1999). This finding may be due to a greater opportunity for females to feed on the blood of the variety of species of vertebrates present in caves during the diurnal and nocturnal periods (Matavelli et al. 2015). Of the five caves sampled in our study, bats and rodents were captured during the nocturnal period at the entrance and interior of four of these caves (unpublished data) and probably during the diurnal period, these small mammals also used the caves as shelters. According to Gomes and Galati (1987), the predominance of females can also be explained by their attraction to both the light of traps and the availability of a blood supply near the traps.

The presence of species incriminated in *Leishmania* transmission, such as *Lu. longipalpis*, *Migonemyia migonei* (França), *Ny. neivai*, and *Ny. whitmani*, deserves special attention. The presence of these species inside and around the caves can be crucial to the sylvatic transmission cycle of *Leishmania* between reservoirs present in these environments and humans, who can become infected accidentally when visiting the caves.

Understanding the daily activity of sand flies in cave environments is important for determining the period of risk of *Leishmania* transmission between the sand flies and the vertebrate hosts who visit or live in these caves. All studied cave environments are close to human

habitation, and therefore, there is the possibility of rural transmission cycle with spillover to humans in this region. The female sand flies feed on blood of many vertebrates (Brazil and Brazil 2003), including bats (Tesh et al. 1971, Christensen and Herrer 1980, Lampo et al. 2000). During the night, bats exit the caves in search of food, and many species are close to human habitations. In these habitations, the sand flies that have more nocturnal habits may become infected when they feed on these bats (De Lima et al. 2008, Savani et al. 2010, Shapiro et al. 2013) and may then transmit *Leishmania* to synanthropic animals, domestic (dog), and/or man in their next bloodmeal and, therefore, the *Leishmania* transmission cycle may be completed. The study of the habits of the sand flies, such as the present study, facilitates proper planning for human avoidance of contact with these insects in these environments.

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509 **Chapter 2- Species replacement and abundance difference among phlebotomine**

510 **sand fly species (Diptera: Psychodidae) of caves**

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522 **Species replacement and abundance difference among phlebotomine sand fly**
523 **species (Diptera: Psychodidae) of caves**

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547 **ABSTRACT** Caves, though seemingly inhospitable due to the lack of light in areas
548 furthest from the cave entrance and the low availability and variety of resources, can
549 harbor many species of vertebrates and invertebrates, including phlebotomine sand flies,
550 the vectors of species of parasites of the genus *Leishmania* that cause leishmaniasis.
551 Thus, caves represent an important setting for testing hypotheses about the patterns of
552 sand fly distribution. Here, we tested the hypothesis that there is high beta diversity
553 between caves and their surroundings, and that this diversity, if it indeed exists, is
554 driven more by species replacement (individuals of different species are replaced
555 between sites) than by abundance difference (difference in the total abundances of the
556 species between sites). The collections of sand flies were performed with automatic
557 light traps in cave environments and their surroundings at three locations in
558 southeastern Brazil in the state of Minas Gerais. We partitioned beta diversity into
559 species replacement and abundance difference between cave environments and their
560 respective surroundings to infer biological mechanisms from the patterns of distribution
561 of sand flies between these environments. A total of 722 phlebotomine sand flies were
562 collected with the most abundant species being *Evandromyia tupynambai* (28%),
563 *Evandromyia edwardsi* (19%), *Brumptomyia troglodytes* (14%), and *Psychodopygus*
564 *lloydi* (11%). The beta diversity between each cave and its surroundings was high, and
565 determined mostly by species replacement in caves that had an aphotic zone and was
566 mostly determined by abundance difference in cave that did not have an aphotic zone.
567 Therefore, our results suggest that both caves and their surroundings are important for
568 the maintenance of sand fly communities, and that the peculiar characteristics of caves
569 have presumably led to differences in the sand fly communities found within and
570 surrounding caves.

571 **KEY WORDS:** beta diversity, caves, Quadrilátero Ferrífero, vector insects.

572 Phlebotomine sand flies are insects of the family Psychodidae, subfamily
573 Phlebotominae (Diptera). They are the invertebrate hosts for protozoan parasites of the
574 genus *Leishmania*, which cause leishmaniases in humans and other mammals (Forattini
575 1973). These insects can be found in urban, rural and wild environments, including
576 caves (Galati et al. 2003a, 2003b, 2003c, 2006; Alves et al. 2008, 2011; Carvalho et al.
577 2012, Campos et al. 2013).

578 Cave environments, though seemingly inhospitable due to the lack of light in
579 areas furthest from the cave entrance and the low availability and variety of resources
580 (Trajano and Gnaspini-Netto 1991, Prous et al. 2015), can harbor many species of
581 vertebrates and invertebrates, including phlebotomine sand flies (Ferreira and Horta
582 2001, Galati et al. 2003a, 2003b, 2003c; Alves et al. 2008, Ferreira et a. 2009, Carvalho
583 et al. 2011, 2012, 2013, Matavelli et al. 2015, Saraiva et al. 2015).

584 Studies conducted inside caves have produced interesting results, such as finding
585 that the diversity of sand flies inside caves is usually greater than or equal to that in their
586 surrounding environments (Galati et al. 2003a, b, c, 2006, 2010; Carvalho et al. 2013).
587 Additionally, the species composition of sand fly communities of caves differ from that
588 of their surrounding areas with some species being restricted to the caves, other species
589 restricted to surroundings and yet others common to both environments (Galati et al
590 2003c, 2006, 2010; Alves et al. 2008, Carvalho et al. 2013, Campos et al. 2016).
591 Campos et al. (2016) have also shown that sand flies exhibit differences in their activity
592 rhythms among the cave interior, entrance and surrounding areas due to differences in
593 the presence of sunlight, which suppresses flight activity of these mainly nocturnal
594 insects. However, despite all these investigations, it is still not clear that we should
595 expect high beta diversity between caves and their surroundings, even though it is
596 known that there are different species outside and inside of caves.

597 Dissimilarity measures have been widely used for evaluating the distribution of
598 different biotas and they have a long-standing history (Jacard 1912, Simpson 1943,
599 Sørensen 1948). Recently, Podani and Schmera (2011), Carvalho et al. (2012, 2013) and
600 Podani et al. (2013) defined beta diversity as a measure of dissimilarity between
601 communities that is determined by two phenomena: species replacement and richness or
602 abundance difference.

603 Species replacement refers to the well-known fact that species are replaced by
604 others, or to the fact that the individuals that exceed the number of individuals of these
605 species in other site are replaced, in this site, by the same number of individuals of
606 different species as a consequence of environmental filtering, competition and historical
607 events (Qian et al. 2005, Leprieur et al. 2011, Legendre 2014). Richness or abundance
608 difference refers to the fact that one community may include a larger number of species
609 than another, or that communities may differ mostly in the total abundance of the
610 species present as a consequence of the diversity of niches available and other
611 ecological processes such as physical barriers (Legendre 2014). Thus, the use of
612 dissimilarity indexes to measure beta diversity caused by species replacement and
613 richness or abundance difference are important for inferring biological mechanisms
614 from the patterns of distribution of sand flies in caves and their surroundings.

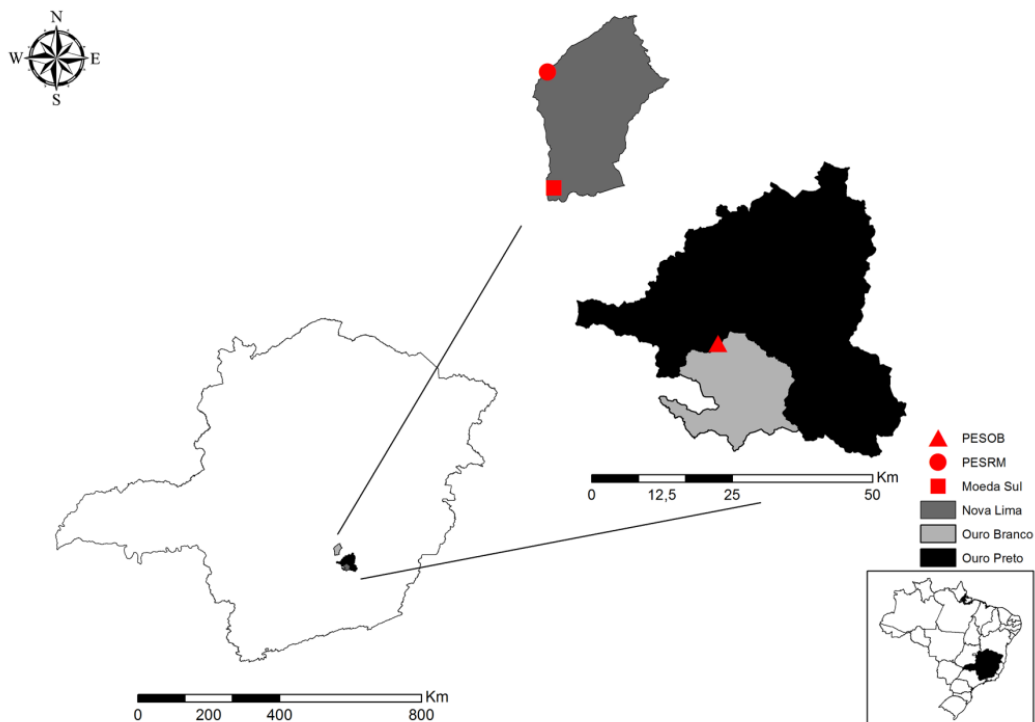
615 Therefore, cave environments represent an important setting for testing
616 hypotheses about the patterns of distribution of sand fly faunas. This study aimed to test
617 the hypothesis that there is high beta diversity between caves and their surroundings
618 and, if indeed such high beta diversity exists, that it is driven more by species
619 replacement than by abundance difference. This hypothesis is based on the fact that the
620 peculiar characteristics of cave environments, such as little variation in temperature and
621 humidity in its interior, lack light in areas distant from the entrance and overall

622 oligotrophic conditions (Poulson and White 1969, Trajano and Gnaspini-Netto 1991) as
623 well as, differences observed in activity rhythms among the cave interior, entrance and
624 surrounding areas due to the presence of sunlight (Campos et al. 2016), presumably led
625 to differences in the sand fly communities found within and surrounding caves.

626

Materials and Methods

627 **Study area.** The study included caves and their surroundings at three different locations
628 in the state of Minas Gerais: Parque Estadual Serra do Rola Moça (PESRM), Moeda Sul
629 and Parque Estadual Serra do Ouro Branco (PESOB) (Fig 1). These locations are within
630 the region of the Quadrilátero Ferrífero of Minas Gerais, a region known to have a great
631 number of recorded caves (Do Carmo et al. 2011, CECAV 2016). The region has
632 abundant ferruginous fields called “canga”, a rare geological formation only found in
633 the Quadrilátero Ferrífero of Minas Gerais and in Carajás, in the state of Pará (Da Silva
634 et al. 1996, Jacobi and Do Carmo 2008).



635

636 **Fig 1.** Location of the study area in the region of the Quadrilátero Ferrífero, Minas
637 Gerais state, Brazil.

638 The study took place in five caves and their surroundings, three at PESRM
 639 (RM38, RM39 and RM40), one at Moeda Sul (MS) and one at PESOB (GI) (Table 1).
 640 All the caves were named by Centro Nacional de Pesquisa e Conservação de Cavernas
 641 (CECAV). The caves of PESRM sampled in this study are about 500 m from each
 642 other, and about 20 km from the caves of Moeda Sul (MS) and about 60 km from the
 643 cave at PESOB. The two caves RM39 and RM40 do not have an aphotic zone, whereas
 644 caves GI, MS and RM38 have aphotic zone about 5 m into the interior from the
 645 entrance.

646 **Table 1. Description of caves sampled in Parque Estadual Serra do Ouro Branco,**
 647 **Moeda Sul and Parque Estadual Serra do Rola Moça, municipalities of Ouro**
 648 **Branco-MG, Ouro Preto-MG and Nova Lima-MG in the region of the**
 649 **Quadrilátero Ferrífero, from February 2014 to April 2015.**

Description of caves				
Locations	Cave name	Coordinates	Elevation (m a.s.l)	Horizontal extension (m)
PESRM	RM38	20°00'48"S, 43°58'43"W	1,363	50
	RM39	20°00'56"S, 43°58'38"W	1,272	50
	RM40	20°00'59"S, 43°58'40"W	1,264	20
Moeda Sul	MS	20°12'7.19"S, 43°58'2.52"W	1,440	70
PESOB	GI	20°27'01"S, 43°42'16"W	1,219	1000

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651 The caves of PESRM and Moeda Sul are all “canga” caves with no running
 652 water and are located in the municipality of Nova Lima in a transition zone between the
 653 Cerrado and Atlantic Forest biomes. The area is rich in ferruginous fields and high
 654 altitude fields beyond areas of “capoeira” (secondary formations) (Jacobi and Do Carmo
 655 2008, IEF 2016). These caves are in a region of intense mining activity, and Moeda Sul
 656 continues to suffer from exploitation for real estate and urbanization (CECAV 2016).
 657 The cave sampled in PESOB consists of limestone and dolomitic marble with running

658 water and is located in the municipality of Ouro Branco. The vegetation of this region is
659 comprised of “campos rupestres” (rocky fields) and high altitude fields, gallery forests,
660 patches of forest and remnants of Atlantic Forest (IEF 2016), and suffers impacts from
661 mining.

662 **Collection of sand flies.** The collection of sand flies was performed under the license
663 N° 45636-1 of the Ministério do Meio Ambiente do Brasil and N° 082/2014 of the
664 Instituto Estadual de Florestas de Minas Gerais.

665 Systematic collections were performed using model HP automatic light traps,
666 (Pugedo et al. 2005), throughout an entire year in PESRM (February 2014 to January
667 2015) and Moeda Sul (April 2014 to March 2015), and six months (September and
668 October 2014 and from January to April 2015) in PESOB. Sampling took place monthly
669 for three consecutive days for a total of 72 h of sampling effort per trap per month.

670 In PESRM, three traps were placed in RM38 cave, and six traps in its
671 surroundings. For the caves RM39 and RM40 and their surroundings, two traps were
672 placed in each cave and four in their surroundings, for a total of 21 traps (seven traps
673 inside of caves and 14 in their surroundings). In Moeda Sul, eight traps were installed in
674 one cave (MS) and eight in its surroundings for a total of 16 traps. In PESOB, six traps
675 were installed in one cave (GI) and six in its surroundings for a total of 12 traps. For all
676 caves and their surroundings sampled, the first trap inside a cave was at its entrance and
677 the others were set every 10 m further into the cave. In the surroundings, the first trap
678 was set in the forest 10 m from the cave entrance and the others were set every 10 m
679 further away from the cave into the forest. The traps were installed approximately 1 m
680 above the ground in all cave and surrounding environments.

681 In PESRM, we chose to install a different number of traps in the caves and in
682 their surroundings due to small horizontal extension of the caves, making it impossible

683 to use a greater number of traps. Thus, for a better sampling of sand fly fauna at this
684 location we chose to double the number of traps in the surroundings of each cave.

685 After sampling, the traps were removed and the sand flies killed in glycerinated
686 alcohol, sorted and sexed. Males were then placed in test tubes containing 70% alcohol
687 and females were placed in test tubes containing 6% DMSO for future study of
688 *Leishmania* DNA detection.

689 **Sand fly identification.** Male sand flies were prepared, mounted in Canada
690 Balsam and identified following the classification of Galati (2003) and nomenclature of
691 the species of the genus *Psychodopygus* follows the proposal of Carvalho et al. (2006).
692 For female identification, part of the body (head and the last three segments of the
693 abdomen) was separated and mounted in Berlese medium, with the head positioned
694 ventrally. Specimens will be deposited in the Coleção de Flebotomíneos of the Centro
695 de Pesquisas René Rachou/Fiocruz (FIOCRUZ/COLFLEB).

696 **Statistical analysis.** The partitioning of beta diversity between a cave and its
697 surroundings of each site (GI, MS, RM39 and RM40) was calculated from the sum of
698 species abundance data from all trapping points of each cave and its surroundings,
699 divided by the number of exposed traps during every month of sampling using the total
700 dissimilarity index of Sorensen (D_{totals}), species replacement index of Sorensen (Repl_s)
701 and abundance difference (AbDiff) as proposed by Podani and Schmera (2011),
702 Carvalho et al. (2012, 2013) and Podani et al. (2013), where $D_{\text{totals}} = \text{Repl}_s + \text{AbDiff}$.
703 D_{totals} = total beta diversity, reflecting both species replacement and abundance
704 difference; Repl_s = beta diversity explained by species replacement; AbDiff = beta
705 diversity explained by abundance difference. The partitioning of beta diversity was
706 performed in the BAT package (Cardoso et al. 2015) in software R 3.2.4 (R
707 Development Core Team 2016).

708 No phlebotomine sand fly was caught inside cave RM38, and so this cave and its
709 surroundings were removed from the beta diversity partitioning analysis.

710 **Results**

711 A total of 722 phlebotomine sand flies were collected from 24 species and ten
712 genera. The total of specimens by sex and the number of species in all environments
713 (cave and surroundings) are shown in Table 2. The most abundant species was
714 *Evandromyia tupynambai* (28%), followed by *Evandromyia edwardsi* (19%), *Br.*
715 *trogodytes* (14%) and *Psychodopygus lloydi* (11%). Females of *Brumptomyia*
716 represented 11% of the total, whereas all other species together amounted to 17% (Table
717 2).

718 Due to the morphological similarity of the genitalia of female sand flies of the
719 genus *Brumptomyia*, and the occurrence of males of three species of this genus in the
720 studied environments of the PESOB, species identification of females of this genus was
721 difficult. Thus, males of *Brumptomyia* found in PESOB were identified to species but
722 females were identified only to genus (i.e. *Brumptomyia* sp.).

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731 **Table 2. Phlebotomine sand flies collected in caves and their respective surroundings in Parque Estadual Serra do Ouro Branco, Moeda**
732 **Sul and Parque Estadual Serra do Rola Moça, municipalities of Ouro Branco-MG, Ouro Preto-MG and Nova Lima-MG, respectively,**
733 **from February 2014 to April 2015. “S” after the cave name indicates samples collected in the area surrounding the cave, and “CAV”**
734 **indicates samples collected within the cave.**

Species	PESOB						Moeda Sul						PESRM																								
	GL_S			GL_CAV			T	MS_S			MS_CAV			T	RM38_S			RM38_CAV			RM39_S			RM39_CAV			RM40_S			RM40_CAV			T	T			
	♀	♂	T	♀	♂	T		♀	♂	T	♀	♂	T		♀	♂	T	♀	♂	T	♀	♂	T	♀	♂	T	♀	♂	T	♀	♂	T					
<i>Brumptomyia carvalhoi</i>	-	11	11	-	-	-	11	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	11		
<i>Brumptomyia nitzulescui</i>	-	23	23	-	3	3	26	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	26		
<i>Brumptomyia</i> sp.	69	-	69	14	-	14	83	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	83		
<i>Brumptomyia troglodytes</i>	-	2	2	-	-	-	2	-	-	-	-	-	-	-	-	-	4	-	4	-	-	-	23	16	39	6	3	9	13	23	36	5	3	8	96	98	
<i>Evandromyia edwardsi</i>	11	3	14	64	33	97	111	1	-	1	-	-	-	1	-	-	-	-	-	-	-	-	1	-	1	4	17	21	-	-	-	-	-	-	22	134	
<i>Evandromyia evandroi</i>	-	-	-	-	-	-	-	-	-	-	-	1	1	1	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	1	
<i>Evandromyia termitophila</i>	1	-	1	-	-	-	1	-	-	-	1	-	1	1	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	2	
<i>Evandromyia tupynambai</i>	-	-	-	1	-	1	1	-	1	1	-	-	-	1	-	-	-	-	-	-	-	-	2	1	3	66	42	108	-	-	-	42	51	93	204	206	
<i>Lutzomyia ischyraantha</i>	-	-	-	-	-	-	-	-	1	1	-	-	-	1	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	1
<i>Lutzomyia longipalpis</i>	1	-	1	2	-	2	3	1	-	1	4	1	5	6	-	-	-	-	-	-	-	-	-	1	1	2	-	1	1	3	4	7	10	19			
<i>Lutzomyia renei</i>	-	-	-	-	-	-	-	-	-	-	-	-	-	-	1	-	1	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	1	1	
<i>Migonemyia migonei</i>	-	1	1	-	-	-	1	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	1	1	
<i>Micropygomyia quinquefer</i>	-	-	-	-	1	1	1	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	1	1	
<i>Nyssomyia whitmani</i>	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	1	-	1	-	-	-	-	-	1	1	
<i>Psathyromyia baratai</i>	4	5	9	1	1	2	11	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	11		
<i>Psathyromyia brasiliensis</i>	-	-	-	-	-	-	-	9	2	11	6	1	7	18	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	18		
<i>Psathyromyia limai</i>	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	1	1	-	-	-	-	-	-	-	-	-	-	-	-	1	1	
<i>Psathyromyia pascalei</i>	2	4	6	-	-	-	6	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	6		

735 Continues

736 Table 1 – Continued

Species	PESOB							Moeda Sul							PESRM														T										
	GI_S			GI_CAV				T	MS_S			MS_CAV				T	RM38_S			RM38_CAV				RM39_S			RM39_CAV				RM40_S			RM40_CAV				T	
	♀	♂	T	♀	♂	T	♀		♂	T	♀	♂	T	♀	♂		T	♀	♂	T	♀	♂	T	♀	♂	T	♀	♂		T	♀	♂	T						
<i>Pintomyia fischeri</i>	1	-	1	-	-	-	1	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	1	2				
<i>Pintomyia misionensis</i>	2	-	2	-	-	-	2	1	-	1	-	-	-	1	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	3				
<i>Pintomyia monticola</i>	5	-	5	-	-	-	5	-	-	-	-	-	-	-	-	-	-	-	4	-	4	2	-	2	3	-	3	-	-	-	-	-	-	9	14				
<i>Psychodopygus carrerai</i>	1	1	2	-	-	-	2	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	2				
<i>Psychodopygus davisi</i>	1	-	1	-	-	-	1	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	1				
<i>Psychodopygus lloydi</i>	60	17	77	1	-	1	78	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	78				
<i>Sciopemyia sordellii</i>	-	-	-	-	1	1	1	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	1				
Total individuals per sex	158	67	225	83	39	122	347	12	4	16	11	3	14	30	5	-	5	-	-	-	30	18	48	79	63	142	18	24	42	50	58	108	345	722					
Total species	12	9	16	6	5	9	19	4	3	6	3	3	4	8	2	-	2	-	-	-	3	3	5	5	4	5	4	2	5	3	3	3	9	24					

737 Localities: PESOB=Parque Estadual Serra do Ouro Branco, Moeda Sul, PESRM=Parque Estadual Serra do Rola Moça; Environments: GI_S and GI_CAV=Gruta da Igreja

738 surroundings and cave, MS_S and MS_CAV=Moeda Sul surroundings and cave, RM38_S and RM38_CAV= Rola Moça 38 surroundings and cave, RM39_S and

739 RM39_CAV=Rola Moça 39 surroundings and cave, RM40_S and RM40_CAV=Rola Moça 40 surroundings and cave. T=total.

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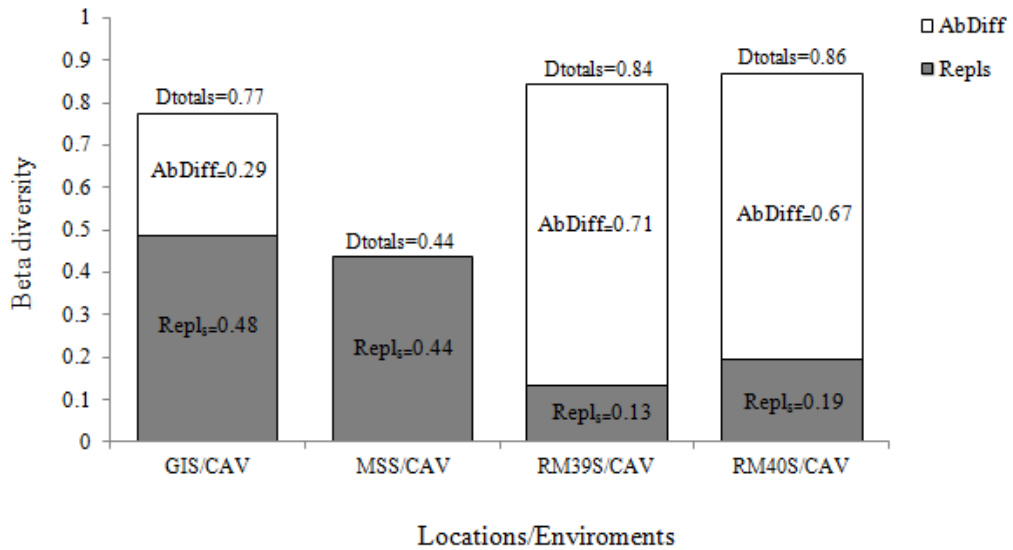
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745 In PESOB, 347 individuals were collected with 122 from inside cave Gruta da
746 Igrejinha (GI) and 225 from its surroundings. The most abundant species in the cave
747 was *Ev. edwardsi* (79%), and the most abundant in its surroundings was *Ps. lloydi*
748 (34%). In Moeda Sul, 30 individuals were collected, with 16 from inside the cave MS
749 and 14 from its surroundings, with the most abundant species in the cave being
750 *Psathyromyia brasiliensis* (50%) and *Lutzomyia longipalpis* (36%), whereas in the
751 surroundings the most abundant was *Pa. brasiliensis* (69%). In PESRM, only five
752 individuals were collected from the surroundings of cave RM38 (none from inside the
753 cave); these were *Br. troglodytes* (80%) and *Lutzomyia renei*. Inside cave RM39 and
754 from its surroundings a total of 190 individuals were collected (48 in the cave and 142
755 from its surroundings), with *Ev. tupyumbai* (76%) being the most abundant species in
756 the cave, and *Br. troglodytes* (81%) the most abundant in its surroundings. Inside cave
757 RM40 and from its surroundings a total of 150 individuals were collected (42 in the
758 cave and 108 in its surroundings) with *Ev. tupyumbai* (86%) being the most abundant
759 in the cave and *Br. troglodytes* (86%) the most abundant in its surroundings (Table 2).

760 The beta diversity between each cave and its surroundings was high and
761 determined both by species replacement and abundance difference. At Gruta da
762 Igrejinha (GI), beta diversity was determined mostly by species replacement and less by
763 abundance difference. At Moeda Sul (MS), beta diversity was determined only by
764 species replacement, whereas at Rola Moça 39 (RM39) and Rola Moça 40 (RM40) it
765 was mostly determined by abundance difference (Fig 2). The contributions of species
766 replacement and abundance difference between caves and their surroundings at Moeda
767 Sul, Rola Moça 39 and Rola Moça 40 were quite different, whereas at Gruta da
768 Igrejinha the difference in their contributions was smaller (Fig. 2).

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770

771 **Fig 2.** Partitioning of total beta diversity (D_{totals}) into species replacement (Repl_s) and
 772 abundance difference (AbDiff) between the cave and its surroundings at each sampling
 773 locality. Location/Environment: GIS and GICAV= Gruta da Igrejinha surroundings and
 774 cave, MSS and MSCAV=Moeda Sul surroundings and cave, RM39S and RM39CAV=
 775 Rola Moça 39 surroundings and cave, RM40S and RM40CAV= Rola Moça 40
 776 surroundings and cave.

777 The beta diversity determined by species replacement and abundance difference
 778 between each cave and its surrounding was related to the number of exclusive species
 779 plus the abundance difference between species and with the difference in the total
 780 abundance of the species between these two environments, respectively (Table 3).

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788 **Table 3. Individuals number and of exclusive and common sandfly species collected in collected cave environments and their respective**
789 **surroundings and both environments in Parque Estadual Serra do Ouro Branco, Moeda Sul and Parque Estadual Serra do Rola Moça,**
790 **municipalities of Ouro Branco-MG, Ouro Preto-MG and Nova Lima-MG, respectively, from February 2014 to April 2015. “S” after the**
791 **cave name indicates samples collected in the area surrounding the cave, and “C” indicates samples collected within the cave.**

	PESOB			Moeda Sul			PESRM					
	GI			MS			RM39			RM40		
	GI_S	GI_CAV	GI_S_CAV	MS_S	MS_CAV	MS_S_CAV	RM39_S	RM39_CAV	RM39_S_CAV	RM40_S	RM40_CAV	RM40_S_CAV
<i>Brumptomyia carvalhoi</i>	X	-	-	-	-	-	-	-	-	-	-	-
<i>Brumptomyia nitzulescui</i>	-	-	X	-	-	-	-	-	-	-	-	-
<i>Brumptomyia</i> sp.	-	-	X	-	-	-	-	-	-	-	-	-
<i>Brumptomyia troglodytes</i>	X	-	-	-	-	-	-	-	X	-	-	X
<i>Evandromyia edwardsi</i>	-	-	X	X	-	-	-	-	X	-	-	-
<i>Evandromyia evandroi</i>	-	-	-	-	X	-	-	-	-	-	-	-
<i>Evandromyia termitophila</i>	X	-	-	-	X	-	-	-	-	-	-	-
<i>Evandromyia tupynambai</i>	-	X	-	X	-	-	-	-	X	-	X	-
<i>Lutzomyia ischyraantha</i>	-	-	-	X	-	-	-	-	-	-	-	-
<i>Lutzomyia longipalpis</i>	-	-	X	-	-	X	-	X	-	-	-	X
<i>Lutzomyia renei</i>	-	-	-	-	-	-	-	-	-	-	-	-
<i>Migonemyia migonei</i>	X	-	-	-	-	-	-	-	-	-	-	-
<i>Micropygomyia quinquefer</i>	-	X	-	-	-	-	-	-	-	-	-	-
<i>Nyssomyia whitmani</i>	-	-	-	-	-	-	-	-	-	X	-	-
<i>Psathyromyia baratai</i>	-	-	X	-	-	-	-	-	-	-	-	-

792 Continues

793 Table 3 – Continued

Espécies	PESOB			Moeda Sul			PESRM					
	GI			MS			RM39			RM40		
	GI_S	GI_CAV	GI_S_CAV	MS_S	MS_CAV	MS_S_CAV	RM39_S	RM39_CAV	RM39_S_CAV	RM40_S	RM40_CAV	RM40_S_CAV
<i>Psathyromyia brasiliensis</i>	-	-	-	-	-	X	-	-	-	-	-	-
<i>Psathyromyia limai</i>	-	-	-	-	-	-	X	-	-	-	-	-
<i>Psathyromyia pascalei</i>	X	-	-	-	-	-	-	-	-	-	-	-
<i>Pintomyia fischeri</i>	X	-	-	-	-	-	-	-	-	X	-	-
<i>Pintomyia misionensis</i>	X	-	-	X	-	-	-	-	-	-	-	-
<i>Pintomyia monticola</i>	X	-	-	-	-	-	-	-	X	X	-	-
<i>Psychodopygus carrerai</i>	X	-	-	-	-	-	-	-	-	-	-	-
<i>Psychodopygus davisi</i>	X	-	-	-	-	-	-	-	-	-	-	-
<i>Psychodopygus lloydi</i>	-	-	X	-	-	-	-	-	-	-	-	-
<i>Sciopemyia sordellii</i>	-	X	-	-	-	-	-	-	-	-	-	-
Total individuals	32	3	312	4	2	24	1	2	187	5	93	52
Total species	10	3	6	4	2	2	1	1	4	3	1	2
Percentage individuals	9%	1%	90%	13%	7%	80%	0.5%	1%	98.5%	3%	62%	35%
Percentage species	52.6%	15.8%	31.6%	50%	25%	25%	17%	17%	66%	50%	17%	33%

794 PESOB, Moeda Sul, PESRM, GI_S and GI_CAV, MS_S and MS_CAV, RM39_S and RM39_CAV and RM40_S and RM40_CAV have the same legend of table 2.

795 GI_S_CAV, MS_S_CAV, RM39_S_CAV, RM40_S_CAV= surroundings plus cave of the Gruta da Igrejinha, Moeda Sul, Rola Moça 39 and Rola Moça 40, respectively.

Discussion

796

797 The original hypothesis of this study that there is high beta diversity between
798 caves and their surroundings being driven more by species replacement than by
799 abundance difference was partially corroborated by the results. We did find high beta
800 diversity between caves and their surroundings, but we did not observe more species
801 replacement than abundance difference for all locations studied (GI, MS, RM39 and
802 RM40).

803 The greatest influence of species replacement over that of abundance difference
804 between a cave and its surroundings was for cave GI, and there was only species
805 replacement (i.e., no abundance difference) between the two environments for MS,
806 illustrating the predominance of exclusive species plus the abundance difference
807 between species to one or the other of these environments, as has been reported
808 previously Legendre (2014), and the small (GI) or lack of (MS) differences in the total
809 abundance of species between these two environments. However, at caves RM39 and
810 RM40, there was the greatest influence of abundance difference over that of species
811 replacement, illustrating that the cave and surrounding communities differ mostly in the
812 total abundance of the species, as was previous reported by Legendre (2014).

813 The caves of GI and MS have an aphotic zone that extends for almost their entire
814 length, and so this condition in these caves could have limited to distribution and
815 colonization of sand flies between these caves and their surroundings, preventing some
816 species from inhabiting both of these environments or a larger survival of individuals in
817 an environment other than and resulting in greater species replacement. According to
818 Culver (1982), the peculiar characteristics of the cave environment, including
819 oligotrophic conditions, stability of climate, and the absence of light, act as limiting
820 factors for the arrival and establishment of new species. Thus, theoretically, these

821 peculiar conditions of the caves can favor the predominance of phlebotomine sand fly
822 species tolerant to these conditions, while serve as limiting factors to other species.
823 Working with other invertebrates in caves with a lack of interior light, Prous et al.
824 (2015) found that the similarity among cave faunas decreased gradually from the
825 exterior to the entrance, reaching values of zero in the cave interior, suggesting that the
826 interior of the caves were inhabited by an entirely unique invertebrate community.

827 Caves RM39 and RM40 did not have an aphotic zone, which therefore may have
828 favored the occurrence of sand flies moving between these caves and their surroundings
829 resulting in just a few individuals being replaced between different species, and most
830 differences being in the total abundance of species between these two environments
831 (almost all species were most abundant in an environment than another) resulting in a
832 higher proportion of beta diversity by abundance difference. These results suggest that
833 sand flies may search caves for protection, shelter, reproduction and availability of
834 resources, since the cave had greater total abundance of species than its surroundings.
835 According to Arias et al. (1985) and Galati et al. (2003c), temperature, humidity,
836 altitude, rainfall, luminosity, vegetation and availability of resources influence the
837 establishment of phlebotomine sand fly colonies or make environments favorable for
838 the resting and breeding of these insects, since the immature forms develop in moist soil
839 that is protected from direct incidence of light and wind, and rich in organic matter
840 (Forattini 1973, Galati et al. 2003c).

841 Some studies have reported that beta diversity caused by species replacement
842 may be due to differences in environmental conditions, biotic interactions, area, habitat
843 richness, proportion of endemism, elevation, distances between areas (Baselga 2008,
844 Hirao et al. 2015, Si et al. 2015, Boschilia et al. 2016), while beta diversity by

845 abundance difference may be due to diversity of niches available and other ecological
846 processes (Legendre 2014).

847 The phlebotomine sand fly fauna collected inside and outside of the studied
848 caves was very diverse, with species restricted to cave environments, other species
849 restricted to cave surroundings and others common to both environments. The finding
850 of a rich sand fly fauna in cave environments has been reported previously by Carvalho
851 et al. (2013) who found 29 species of sand flies in caves in the municipality of
852 Lassance, state of Minas Gerais. Galati et al. (2010) collected 11 species in caves in the
853 state of São Paulo and Barata et al. (2008) collected 14 species in caves located in
854 Parque Nacional do Peruaçu, state of Minas Gerais.

855 In conclusion, beta diversity between each cave and its surroundings was high.
856 In caves that had an aphotic zone beta diversity was determined mostly by species
857 replacement and in cave that did not have an aphotic zone beta diversity was mostly
858 determined by abundance difference. Therefore, with these results we suggest that both
859 caves and their surroundings are important for the maintenance of their sand fly
860 communities and that the peculiar characteristics of cave environments has presumably
861 led to differences in the sand fly communities within caves and in their surroundings.

862 **Acknowledgments**

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Considerações finais

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1041 *Lutzomyia renei* foi à espécie mais abundante dentro das cavernas durante os
1042 períodos diurno e noturno, mostrando o aspecto troglófilo dessa espécie nesses
1043 ambientes. *Lutzomyia longipalpis*, espécie com grande importância vetorial no Brasil,
1044 foi à segunda espécie mais abundante na caverna durante os períodos diurno e noturno
1045 representando um possível risco de transmissão de *Leishmania* entre os reservatórios e
1046 humanos que frequentam esses ambientes.

1047 Verificamos que o período de atividade diária dos flebotomíneos nos ambientes
1048 de caverna é afetado diretamente pela presença da luz solar, visto que nossos resultados
1049 mostraram que os flebotomíneos exibem diferença nos seus ritmos circadianos entre os
1050 ambientes do entorno, entrada (zona fótica) e interior (zona afótica) das cavernas. No
1051 interior das cavernas, observamos que a riqueza e abundância do total de flebotomíneos
1052 e a abundância de machos e fêmeas de flebotomíneos não diferiu entre os períodos
1053 diurno e noturno, mas diferiu entre os períodos na entrada e entorno das cavernas, com
1054 maior riqueza e abundância do total de flebotomíneos e a abundância de machos e
1055 fêmeas durante o período noturno. Esses resultados mostram que os flebotomíneos
1056 coletados na entrada e entorno das cavernas respondam a um ciclo circadiano noturno,
1057 enquanto que no interior das cavernas esses insetos estão ativos em ambos os períodos.

1058 Esses resultados sobre o período de atividade dos flebotomíneos em cavernas
1059 (entrada e interior) e seus entornos mostram a importância em se investigar o papel de
1060 vertebrados nesses ambientes como possíveis fontes alimentares sanguínea desses
1061 insetos e/ou reservatório de *Leishmania* e na manutenção da fauna flebotomínica ativa
1062 em ambos os períodos dentro de cavernas. O número elevado de fêmeas capturadas na
1063 entrada e interior das cavernas e ativas em ambos os períodos no seu interior, suportam
1064 a hipótese de que vertebrados possam estar funcionando como fonte alimentar
1065 sanguínea para esses insetos. Esse achado chama atenção, visto que esses insetos se

1066 alimentam em vertebrados e, portanto, podem transmitir *Leishmania*, o agente causador
1067 das leishmanioses e assim estabelecer um ciclo de transmissão de *Leishmania* entre os
1068 reservatórios e humanos que visitam esses ambientes.

1069 Portanto, é importante o estudo de fonte alimentar sanguínea das fêmeas de
1070 flebotomíneos e de detecção de DNA de *Leishmania* nos vertebrados encontrados
1071 dentro e fora das cavernas e nas fêmeas de flebotomíneos coletadas nesses mesmos
1072 ambientes durante os mesmos períodos de coletas, visto que coletamos dentro e fora
1073 dessas cavernas espécies de flebotomíneos envolvidas na transmissão de *Leishmania*.

1074 Encontramos alta diversidade beta entre caverna e seu entorno resultante de
1075 substituição de espécies e diferença de abundância, o que nos levou a pensar que as
1076 condições peculiares dos ambientes de cavernas possam ter influenciado a distribuição
1077 dos flebotomíneos encontrada dentro e fora das cavernas. Diante desse resultado,
1078 sugerimos que em estudos futuros se investigue o efeito de variáveis ambientais, tais
1079 como gradiente de temperatura, umidade e luminosidade, extensão horizontal e presença
1080 de vertebrados dentro das cavernas, assim como o efeito da paisagem dos seus entornos
1081 (cobertura e perda de vegetação) sobre a distribuição dos flebotomíneos presentes
1082 nesses ambientes.

1083 Estudos em cavernas e seus entornos com essa abordagem de partição da
1084 diversidade beta em substituição de espécies e diferença de riqueza ou abundância são
1085 importantes para que se possa verificar a presença de algum padrão de distribuição dos
1086 flebotomíneos entre caverna e seu entorno como foi observado em nossos resultados.

1087 Contudo, acreditamos que nossos resultados possam se repetir em outras
1088 cavernas e seus respectivos entornos, visto que são as condições peculiares dos
1089 ambientes cavernícolas que podem está modulando a distribuição e atividade diária dos
1090 flebotomíneos nesses ambientes.