# ENVIRONMENTAL RESEARCH

#### LETTER • OPEN ACCESS

## Current and future patterns of fire-induced forest degradation in Amazonia

To cite this article: Bruno L De Faria et al 2017 Environ. Res. Lett. 12 095005

View the article online for updates and enhancements.

#### You may also like

- High-frequency Wave Power Observed in the Solar Chromosphere with IBIS and ALMA Momchil E. Molnar, Kevin P. Reardon, Steven R. Cranmer et al.
- <u>Stable Performance of Chemically</u> <u>Deposited Antimony Sulfide-Lead Sulfide</u> <u>Thin Film Solar Cells under Concentrated</u> <u>Sunlight</u> Rogelio González-Lúa, José Escorcia-García, Diego Pérez-Martínez et al.
- Effects of synchronous irradiance monitoring and correction of current-voltage curves on the outdoor performance measurements of photovoltaic modules Yoshihiro Hishikawa, Takuya Doi, Michiya Higa et al.

### **Environmental Research Letters**

#### LETTER

**OPEN ACCESS** 

CrossMark

RECEIVED 8 September 2016

REVISED

23 March 2017 ACCEPTED FOR PUBLICATION

29 March 2017

PUBLISHED 8 September 2017

Original content from this work may be used under the terms of the Creative Commons Attribution 3.0 licence.

Any further distribution of this work must maintain attribution to the author(s) and the title of the work, journal citation and DOI.



## Current and future patterns of fire-induced forest degradation in Amazonia

### Bruno L De Faria<sup>1,2,7</sup>, Paulo M Brando<sup>3,4</sup>, Marcia N Macedo<sup>3,4</sup>, Prajjwal K Panday<sup>5</sup>, Britaldo S Soares-Filho<sup>6</sup> and Michael T Coe<sup>3</sup>

<sup>1</sup> Federal University of Viçosa, Avenue P H Rolfs s/n, Viçosa, MG, 36570-000, Brazil

Federal Institute of Technology North of Minas Gerais (IFNMG), Avenida Humberto Mallard, 1355, Pirapora, MG, 39270-000, Brazil

- The Woods Hole Research Center, 149 Woods Hole Road, Falmouth, MA 02540-1644, United States of America
- <sup>4</sup> Instituto de Pesquisa Ambiental da Amazônia (IPAM), Brasilia, DF, Brazil
- <sup>5</sup> Nichols College, Environmental Science, Dudley, MA 01571, United States of America
- <sup>6</sup> Federal University of Minas Gerais, Avenida Antônio Carlos 6627, Belo Horizonte, MG, 31270-901, Brazil
- <sup>7</sup> Author to whom any correspondence should be addressed.

#### E-mails: blfaria@gmail.com

Keywords: Amazon, climate change, drought, fire intensity, fire severity, CMIP5, fire modeling

Supplementary material for this article is available online

#### Abstract

Amazon droughts directly increase forest flammability by reducing forest understory air and fuel moisture. Droughts also increase forest flammability indirectly by decreasing soil moisture, triggering leaf shedding, branch loss, and tree mortality—all of which contribute to increased fuel loads. These direct and indirect effects can cause widespread forest fires that reduce forest carbon stocks in the Amazon, with potentially important consequences for the global carbon cycle. These processes are expected to become more widespread, common, and intense as global climate changes, yet the mechanisms linking droughts, wildfires, and associated changes in carbon stocks remain poorly understood. Here, we expanded the capabilities of a dynamic forest carbon model to better represent (1) drought effects on carbon and fuel dynamics and (2) understory fire behavior and severity. We used the refined model to quantify changes in Pan-Amazon live carbon stocks as a function of the maximum climatological water deficit (MCWD) and fire intensity, under both historical and future climate conditions. We found that the 2005 and 2010 droughts increased potential fire intensity by 226 kW m<sup>-1</sup> and 494 kW m<sup>-1</sup>, respectively. These increases were due primarily to increased understory dryness (109 kW m<sup>-1</sup> in 2005; 124 kW m<sup>-1</sup> in 2010) and altered forest structure (117 kW m<sup>-1</sup> in 2005; 370 kW m<sup>-1</sup> in 2010) effects. Combined, these historic droughts drove total simulated reductions in live carbon stocks of 0.016 (2005) and 0.027 (2010) PgC across the Amazon Basin. Projected increases in future fire intensity increased simulated carbon losses by up to 90% per unit area burned, compared with modern climate. Increased air temperature was the primary driver of changes in simulated future fire intensity, while reduced precipitation was secondary, particularly in the eastern portion of the Basin. Our results show that fire-drought interactions strongly affect live carbon stocks and that future climate change, combined with the synergistic effects of drought on forest flammability, may strongly influence the stability of tropical forests in the future.

#### Introduction

Shifts in fire regimes have driven landscape-scale declines in vegetation health in many of the world's ecosystems (Cochrane and Laurance 2002, Westerling

*et al* 2006, Achard *et al* 2008, Alencar *et al* 2015, Trumbore *et al* 2015). This includes extreme cases of arrested forest succession, vegetation shifts to new states in arid western U.S. forests (Allen 2007), and catastrophic wildfires in temperate (Stephenson *et al* 

**b** Letters

2015) and boreal (Gauthier *et al* 2015) forests. These fire-induced shifts in vegetation conditions have reduced the capacity of natural ecosystems to store and cycle carbon, with important implications for the global carbon cycle (Trumbore *et al* 2015).

Anthropogenic changes are altering forest fire regimes in Amazonia (Morton et al 2013, Alencar et al 2015). Since the 1980s, human activities have increased forest fire occurrence by fragmenting forests and increasing sources of fire ignition (Nepstad et al 2001). Episodic droughts superimposed upon these activities create conditions for widespread, damaging forest fires (Aragão et al 2007, Brando et al 2012, Alencar et al 2015, Chen et al 2013, 2014). During the El Niño drought of 1997-98, 30%-40% of the Brazilian Amazon (5.5 million km<sup>2</sup>) became flammable and a total of 39 000 km<sup>2</sup> of Amazonian forests burned, releasing 0.2-0.6 Pg of carbon to the atmosphere (Nepstad et al 2004). In the 2000s, more than 85 000 km<sup>2</sup> of forests burned, mostly during the dry and warm years of 2005, 2007, and 2010 (Morton et al 2013). During the 2007 drought alone fires burned ~12% of forests across the southeastern Amazon's arc of deforestation (Brando et al 2014). Projections of future climate for the Amazon suggest that the frequency and intensity of droughts and heat waves will increase as a result of greenhouse gas-driven climate change (Duffy et al 2015, Cox et al 2008, Malhi et al 2009). While these changes in climatic extremes will increase the likelihood of widespread tropical fires, the potential impacts of these changes on fire regimes and live carbon stocks in the Amazon are still poorly understood (Nepstad et al 2008).

Climatic extremes affect Amazon fire regimes both directly and indirectly (Cochrane 2003). Droughts directly increase forest flammability by increasing air dryness (e.g. vapor pressure deficit, VPD) and decreasing fuel moisture (Ray et al 2005). Indirectly, droughts cause reductions in soil moisture that often trigger leaf shedding, branch losses, and tree mortality (Pausas and Bradstock 2007). This process leads to more fuel accumulation and more direct sunlight reaching the forest floor (Nepstad et al 2001). As understory air dryness and fuel accumulation increase, three important predictors of fire intensity and severity are concurrently and positively affected: fuel consumption, fire spread rates, and burned area (Byram 1959). As a result, forests not only become more flammable during severe drought events, but also more prone to high-intensity fires (Cochrane 2003, Nepstad et al 2001, Brando et al 2014). These fires in turn drive non-linear increases in carbon emissions to the atmosphere (Brando et al 2016).

In this study, we modify a dynamic carbon model to include interactions between fire behavior, droughtinduced tree mortality, and fire-induced biomass loss. We apply the model under historical climate conditions and future climate based on two Representative Concentration Pathways (RCPs 2.6 and 8.5) to address the following questions: (1) What are the effects of drought feedbacks on forest flammability and how do they impact fire behavior throughout Amazonia? (2) What are the potential effects of changes in fire intensity on carbon stocks and vegetation dynamics of the Amazon in future climate scenarios?

#### Data and methods

#### Model description

We used the Carbon and Land Use Change dynamic carbon model (CARLUC, described in detail in Hirsch *et al* 2004), which borrows its basic structure from the 3-PG model (Landsberg and Waring 1997). CARLUC estimates net primary productivity (NPP) from plant available water (PAW), photosynthetically active radiation (PAR), vapor pressure deficit (VPD), and air temperature. During each monthly time step, NPP is allocated to wood, leaf, and root carbon pools. Mortality creates dead organic matter that is placed in structural leaf litter, metabolic leaf litter, structural root litter, metabolic root litter, coarse woody debris and humus pools. We consider leaf litter and small woody fuels (i.e. 1 h fuels) as the fuel load.

To properly model forest flammability, fire behavior, and fire effects, we incorporated several new functions into CARLUC: (1) drought-induced loss of carbon stocks (AGB, above-ground biomass) as a function of the maximum climatological water deficit (MCWCD) (Phillips et al 2009, Lewis et al 2011) and associated changes in fuel loads and vapor pressure deficit (VPD); (2) litter moisture content (LMC, %), estimated from VPD; (3) fire spread rate (FSR,  $m \cdot min^{-1}$ ), estimated from LMC; (4) fire fuel consumption (W, kg·m<sup>-1</sup>), estimated from LMC and fuel load mass; (5) fire line intensity (FI,  $kW \cdot m^{-1}$ ), estimated from FSR and W; and (6) fire-induced biomass losses, derived from FI from field measurements. Below we describe each one of these processes in more detail.

Several studies have shown that droughts can cause increased tree mortality and associated changes in fuel dynamics and microclimatic conditions in the forest understory (Cochrane et al 1999, Balch et al 2008, Brando et al 2008, 2012, Meir et al 2009). Therefore, we included in CARLUC the relationship between  $\Delta$ MCWD and changes in biomass (Phillips et al 2009) (equation (1)). This relationship was derived from the Amazon forest inventory network (RAINFOR) based on changes in biomass and MCWD during the 2005 drought compared with the long-term average (Phillips et al 2009). When MCWD drops below -40 mm, this relationship predicts that as water stress increases (represented by MCWD) so do associated losses in aboveground biomass. To incorporate this equation into CARLUC, we first simulated forest carbon stocks and then





drought component in light green.

added our drought component, expressed by the effects of MCWD on biomass turnover rates (proxy for tree mortality), into CARLUC. These drought effects essentially transfer part of the simulated live carbon stocks to litter material (i.e. fuel loads).

The biomass reference and fuel loads were generated by CARLUC under average climate conditions for the 2000s.

$$\Delta AGB = 0.3778 - 0.052 * \Delta MCWD \qquad (1)$$

where ABG represents predicted losses in ABG and MCWD the maximum climatological water deficit.

In the previous version of CARLUC, fire severity was based on uVPD alone (equation (2); Soares-Filho *et al* 2012), where uVPD was estimated from atmospheric VPD, standing aboveground live biomass (*Cstem*), and canopy cover (*Cleaf*). In this new version of CARLUC, referred to as CARLUC-Fire (figure 1), we included a new representation of fire intensity and severity. Fire intensity is now linked to litter moisture content (LMC) and fire spread rates (FSR) (equation (3) and equation (4)), such that increasing VPD leads to decreasing LMC and decreasing LMC leads to increasing FSR.

$$uVPD = 0.14049 - 0006 * Cstem * 10$$
$$-0.5940 * \sqrt{Cleaf * 10 + 0.5}$$
$$+1.505 * \sqrt{VPD + 0.5}$$
(2)

$$LMC = 80e^{-0.9uVPD}$$
(3)

$$FSR = 0.043 + 0.838e^{-107(LMC)}.$$
 (4)

Fire intensity (FI) is defined as the energy released per unit length of fireline (kWm<sup>-1</sup>). It is a key factor in determining how vegetation responds to fire events. Given that fire intensity and severity are highly correlated in tropical forests, a high value of FI indicates a high potential for fire-induced tree mortality and loss of live carbon stocks (Brando *et al* 2012, 2014). The representation of fire intensity in CARLUC is based on the product of three variables: FSR, which is derived from field measurements (equation (4)) (Ray *et al* 2005); the combustion heat (H), which is assumed to be constant at 18 700 kJ kg<sup>-1</sup> (Van Wagner 1973, Albini 1976); and mass of fuel consumed by fire (W), which is based on the assumption that the proportion of each dead fuel class that is consumed by fire decreases as a function of its moisture content relative to its moisture of extinction ( $m_{ei}$ ; following Peterson and Ryan 1986).

$$W = \left\{ \begin{array}{l} 1.0, \frac{\text{LMC}}{\text{me}} \le 0.18\\ 1.2 - 0.62 \frac{\text{LMC}}{\text{me}}, 0.18 \le \frac{\text{LMC}}{\text{me}} \le 0.73\\ 2.45 - 2.45 \frac{\text{LMC}}{\text{me}}, \frac{\text{LMC}}{\text{me}} > 0.73 \end{array} \right\}$$
\*1-h fuel
(5)

W is the amount of dead fuel consumed per m<sup>2</sup>. Therefore, if uVPD increases, fire intensity increases disproportionally due to decreases in LMC and increases in FSR.

To represent fire-induced tree mortality (i.e. biomass turnover) within CARLUC-Fire, we incorporated a new function accounting for carbon losses as a function of fire intensity (Brando et al 2014). This function was developed based on data collected in the context of a large-scale fire experiment located in southeast Amazonia. Brando et al (2014) conducted experimental fires from 2004 to 2010 and quantified the associated increases in tree mortality. We modified this relationship to estimate losses in aboveground carbon stocks (%) as a function of fire intensity (kW m<sup>-1</sup>) (equation (6)). We assumed that fire-induced losses in belowground live carbon were 20% of aboveground losses. Ideally, this new equation should include data from other regions, but we could find none. Most studies on fire ecology in the Amazon are based on estimates of post-fire tree mortality, which lack information on pre-fire forest conditions and usually do not provide information on fire behavior (Cochrane 2003).

Percent loss of ABG carbon  
= 
$$1/(1 + \exp(2.45 - 0.002373 * \text{FI})).$$
 (6)

Our simulations of CARLUC-Fire (modeling and analysis) were implemented using the Dinamica EGO software platform (Soares-Filho *et al* 2010) and R packages (Hijmans and Van Etten 2014).

#### Flammability and fire intensity

To assess forest flammability (i.e. potential fire intensity) as a function of historical drought, we performed three experimental runs of CARLUC-Fire for: (i) current conditions, using the average climate of the 2000s, excluding 2005 and 2010 (severe drought years in Amazonia); (ii) 2005 drought conditions,



using biomass loss from our new drought component, derived from  $\Delta$ MCWD (Lewis *et al* 2011), which promotes changes in simulated values of uVPD and fuel loads; and (iii) 2010 drought conditions, which was the same to the 2005 approach.

For calculations of potential fire intensity and severity, we ran CARLUC-Fire at  $0.5^{\circ} \times 0.5^{\circ}$  horizontal resolution, with a monthly time step from 2000 to 2010, using temperature and mean vapor pressure from the Climate Research Unit (CRU TS, v.3.22). Other required input variables (e.g. PAR, PAW) were derived from the Integrated Biosphere Simulator (IBIS) dynamic vegetation model, which was forced with the same CRU climate data (Panday *et al* 2015).

The result of these simulations are the fire behavior variables (LMC, FSR, W and FI), as well as fire severity and carbon stocks at 1/2-degree resolution for the entire Amazon Basin. From the forest carbon stocks, we can simulate Amazonian biomass under a given climate condition. To convert C to biomass, we used the relationship determined by da Silva *et al* (2007) for forests near Manaus, where one ton of biomass contains 0.485 tons of C. To constrain our analysis to where seasonality is large enough to allow for fires to spread, we estimate carbon emissions from fires mapped by Morton *et al* (2013) from 2000 to 2010. To do so, we ran CARLUC-Fire as described above but at 500 m  $\times$  500 m resolution.

#### Uncertainty analysis

While severe droughts can cause increased tree mortality in Amazonia, it is unclear how this process influences the timing of fuel accumulation and changes in forest microclimatic conditions. To quantify how these sources of uncertainty influenced our results, we performed two sets of simulations. First, we ran CARLUC-Fire assuming that (1) woody fuels would be evenly distributed throughout the year; (2) most of the woody fuel would increase during the fire season; and, (3) half of woody fuel would increase during the dry season and half throughout the year. These simulations provide information on how the timing of fuel availability resulting from droughts influences fire intensity and severity. Second, we ran CARLUC-Fire based on the assumption that droughtinduced changes in uVPD lagged MCWD by one, two, and three months. This set of simulations quantifies how drought-induced tree mortality could influence uVPD and fire intensity and severity one, two, or three months after the drought.

Another potential source of uncertainty in calculating fire intensity and severity in CARLUC-Fire relates to the use of average climate data from 35 models to represent future climate change. We performed simulations based on the lower and upper quartiles of precipitation and temperature change to assess the uncertainties associated with the use of a multi-model ensemble. These analyses indicate that the difference between the upper and lower quartiles



for the main CARLUC-Fire forcing data (VPD and MCWD) is relatively small (figure S4 and S5 available at stacks.iop.org/ERL/12/095005/mmedia).

Fires tend to burn hotter during the day than during the night. Therefore, our assumption that most fires occur during the day could lead to overestimation of fire intensity and severity. To address this potential source of uncertainty, we ran CARLUC-Fire simulations with low values of daily VPD (i.e. typical nighttime values) and high monthly VPD derived from maximum monthly temperatures. We assumed that the difference between these two simulations related directly to the uncertainty associated with using averaged monthly VPD in our simulations.

#### Climate change and fire behavior

To evaluate potential climate change impacts on fire intensity and severity, we performed three numerical experiments with future climate scenarios, considering near future (2010-2039), middle future (2040-2069), and distant future (2070-2099). We built these scenarios using maximum air temperature (related with air dryness, VPD) and precipitation (related with water stress, MCWD) from 35 climate models participating in the Coupled Model Intercomparison Project Phase 5 (CMIP5). We first performed CARLUC-Fire runs without the effects of MCWD on standing biomass. We then ran CARLUC-Fire including those MCWD effects using the 2005 generated MCWD but applying the RCP climate data. For 2010, we repeated this procedure but used 2010 conditions as our baseline.

We compare climate impacts as a function of two different levels of global warming at the end of the 21st century, the RCP2.6 and RCP8.5 scenarios. RCP2.6 is the best-case scenario (low emissions) and assumes an extreme reduction in fossil fuel use and rapid implementation of renewable energies, as well as carbon capture and sequestration. It is the scenario most closely mirroring the Paris (COP21) agreement, if fully implemented. RCP8.5 represents 'business as usual,' based on a fossil fuel intensive economy, consistent with present-day use and accounting for projected global demographic increases.

The resulting temperature and precipitation fields were used as input to the CARLUC-Fire model. Each simulation spanned the years 1950 through 2099. For 1950 through 2005, we used estimates of historical climate forcing (e.g. atmospheric greenhouse gas concentrations). After 2006, forcing was derived from the mean monthly simulated temperature and precipitation anomalies averaged for all 35 models and added to the CRU and Tropical Rainfall Measuring Mission (TRMM) data (figure 2). More specifically, we sliced the future CALRUC-Fire simulations into three time classes: '2010–39', '2040–69', and '2070–2099'. Applying these conditions to CARLUC-Fire, we simulated the effect of these two droughts (2005, 2010) under the two future changes in atmospheric composition (figure 6).

#### Results

#### Drought-induced changes in forest flammability

Our analysis of the climate data indicates that the 2005 and 2010 droughts impacted 47% and 60% of the Amazon, respectively, which is consistent with previous estimates (Lewis et al 2011). Our simulations show that these droughts caused reductions in live carbon stocks of 1.6 PgC and 2.1 PgC in 2005 and 2010, respectively (figure 3). In response to these droughts and the associated changes in forest carbon stocks, fuel loads and understory air dryness increased across the Amazon. We estimated that understory VPD (uVPD) was up to 20% and 13% higher than the long-term average, during the 2005 and 2010 droughts, respectively. Simulations with and without drought effects in CARLUC showed that canopy openness associated with drought-induced tree mortality (from equation (1) and equation (2)) accounted for 3%–5% of this increase, while higher atmospheric VPD (from climate data) in those years accounted for the remainder. In drought years, fuel loads were 4%-10% higher than in non-drought years.

The simulated changes in fuel and microclimate dynamics associated with the 2005 and 2010 droughts caused increased forest flammability across the Basin. Simulated potential fireline intensity (PFI) averaged 56 kW m<sup>-1</sup> in non-drought years, but increased to 114 and 169 kW m<sup>-1</sup> in 2005 and 2010, respectively (figure 4). Our simulations suggest that, if understory fires had occurred throughout the Basin (figure 5) during these droughts, between 1–1.5 million km<sup>2</sup> of the Amazon would have lost substantial live carbon stocks (e.g. considered here losses  $\geq 12\%$  of the initial carbon stocks), compared with a control simulation under average climate conditions. In non-drought years, the average area that could have experienced high fire-induced biomass losses was only 180 000 km<sup>2</sup>.

Limiting our analysis to areas that burned between 2000 and 2010 (according to Morton et al 2013) (figure S6), we found that the fires of 2005 and 2010 directly increased fireline intensity by 109 kW·m<sup>-1</sup> and 124 kW·m<sup>-1</sup>, respectively, and indirectly by 117 kW·m<sup>-1·</sup>and 370 kW·m<sup>-1</sup> (compared with the long-term average). The direct effects were associated with increased understory air dryness (uVPD), while the indirect effects were mostly associated with increased fuel loads but also with increased uVPD. We estimate that the observed fires (Morton *et al* 2013) reduced live carbon stocks by 22% (in 2005) and 40% (2010) for a given burned area (figure 5). These estimates were much higher than in non-drought years, when fire-related losses in live carbon stocks averaged 9%. The greatest increases in fire-induced carbon losses were simulated for (1) southwestern







(AGB) loss from drought-induced tree mortality during the 2005 drought.

Amazonia during the 2005 drought and (2) southeastern Amazonia during the 2010 drought. We estimate that the 2005 and 2010 fires reduced carbon stocks of Amazonian forests by a total of 0.018–0.032 PgC.

#### Climate change and fire effects

Our simulations indicate that if future climate change follows a business-as-usual pathway of greenhouse gas emissions (RCP 8.5), fire regimes could change dramatically in Amazonia after 2050. Forest flammability, potential fireline intensity, and potential fireinduced losses in live carbon stocks would be much greater. Our simulations indicate that, under projected RCP 8.5 climatic conditions in the near (2010–2039), middle (2040–2069), and distant (2070–2099) future, droughts would cause Amazon fires that are 30%, 50%, and 90% more intense and severe than current non-drought fires (figure 6). Moreover, high-intensity





fires (i.e.  $FI > 800 \text{ kWm}^{-1}$ ) during drought events could affect 196–550 thousand km<sup>2</sup> (2005, 2010) by mid-century, depending on the emissions scenario, compared to 0–76 thousand km<sup>2</sup> for the 2005 and 2010 droughts (i.e. under current climate conditions). As a result, fire-induced carbon emissions could double in the future, assuming the same amount of burned area as observed during 2005 and 2010 droughts. In the RCP 8.5 scenario, air temperature is the most important variable driving changes in future fire regimes over the Amazon. In that scenario, air temperature increases by 5 °C–7 °C across the Amazon, driving increases in vapor pressure deficit, especially in the central-eastern Amazon (figure 2(a)). Future decreases in precipitation over the eastern Amazon are also predicted to increase forest flammability and potential fire intensity (figure 2(b)). Under a low-emissions



Letters



**Figure 6.** Projected changes in fire intensity and in fire-induced changes in aboveground biomass (fraction) according to two starting climatic conditions ((*a*) 2010 drought; (*b*) 2005 drought). We sliced the future projections into five groups: (1) current climatic conditions, assuming no drought-induced changes in live carbon stocks (*non-drought*); (2) current climatic conditions, assuming that droughts caused losses in live carbon stocks (*Drought*); (3) future climatic conditions for the period 2010–2039; future climatic conditions for the period 2040–2069; and future climatic conditions for the period 2070–2099. All future simulations were based on the RCP 8.5 and assumed that MCWD (our proxy for drought) could drive changes in live carbon stocks.

pathway (RCP 2.6), fire intensity and severity would be much lower compared with the RCP 8.5 scenario, especially after 2050 (figure 7).

#### Uncertainty analysis

In CARLUC-Fire, fire intensity and severity were highly sensitive to the timing of fuel accumulation

resulting from drought-induced losses in aboveground carbon. Sensitivity analyses indicated that, when maximum fuel loads occurred during the peak fire season of a drought year (2005), fire intensity averaged 226 kWm<sup>-1</sup>. In contrast, when increases in fuel loads were evenly distributed throughout the year, fire intensity averaged 55 kWm<sup>-1</sup> (figure S1*b*).







Our sensitivity analyses also show that a delayed response of uVPD to drought-induced biomass losses influenced fire intensity and severity. For example, FI was 23% lower when VPD increased in the month before the drought, compared with the peak drought month. Simulations with longer lagged responses of VPD to drought (i.e. two and three months) (figure S3), led to lower FI. Finally, our sensitivity analyses show that the time of day could have a major impact on our estimates of FI. By using the monthly maximum air temperature, we may be overestimating FI by as much as 25% (figure S2).

#### Discussion

In this study, we modified a dynamic carbon-vegetation model (Soares-Filho *et al* 2012) to quantify the potential effects of drought-fire interactions on forest carbon

dynamics in Amazonia. Our simulations suggest that the droughts of 2005 and 2010 increased fire intensity and severity in southern Amazonia, in agreement with previous studies (Chen *et al* 2011, Brando *et al* 2014, Chen *et al* 2014, Aragão *et al* 2016). Simulated increases in fire intensity and severity were primarily associated with greater fuel accumulation on the forest floor, which resulted from drought-induced changes in forest structure (considered an 'indirect' effect). Secondarily, fire intensity and severity increased in response to the direct effect of the droughts on understory air dryness (*uVPD*). These results suggest that drought-induced interactions during the 2000s greatly increased carbon emissions across the Amazon.

Our simulations suggest that future climate changes associated with large increases in radiative forcing (e.g. RCP 8.5) could nearly double fire-induced carbon emissions per unit area burned (figure S7), given projected increases in vapor pressure deficit (particularly after 2070). Increases in drought frequency and intensity, coupled with increased dry season length (Butt et al 2011), could exacerbate this process by killing trees and causing associated increases in fuel loads, which creates the potential for high-intensity fires. According to our results, sharp reductions in the rate of GHG increase (e.g. RCP 2.6) could mitigate these effects, reducing the area subject to 'dangerous' fire intensity by 68% (compared with RCP 8.5). However, the actual risk of future Amazon forest fires will depend on complex interactions among droughts, heat waves, and efforts to suppress fires when they start (Nepstad et al 2001, Cochrane 2003, Aragão and Shimabukuro 2010, Alencar et al 2015). The future area burned will also depend on spatial-temporal patterns in sources of fire ignition. Unlike systems where fires are naturally ignited by lighting (e.g. boreal forests), management fires in agricultural fields ignite most Amazon forest fires (Soares-Filho et al 2012).

Overall, our results suggest that modeling of fire in the Amazon could be improved by considering the direct and indirect effects of droughts on forest structure. Assuming no drought-induced effects on fuel loads and moisture, fire intensity decreased sharply across the Amazon according to our simulations. While several studies have shown that drought-induced tree mortality alters fire behavior by increasing fuel loads and decreasing fuel moisture (Cochrane et al 1999, Nepstad et al 2001, Balch et al 2008, Brando et al 2008, 2012, Meir et al 2009), most DGVMs lack representation of this process (Trumbore et al 2015, Powell et al 2013). Our empirical representation of biomass losses based on MCWD provides important insights into how droughtinduced tree mortality may influence fire properties in Amazonia (Phillips et al 2009). However, our predictor of drought-induced tree mortality (i.e. MCWD) is coarse and has been shown to overestimate tree mortality in some cases (Feldpausch et al 2016). Existing process-based models (Zhang et al 2015, Castanho et al 2016) could be adapted to better represent this process based on recent findings on tree-water relationships (Rowland et al 2015, Sperry et al 2016).

In addition to quantifying the potential effects of fire on Amazon forests, we identified several key drivers of uncertainty in CARLUC-Fire. The first one is the timing of fuel accumulation following a drought, which strongly influenced fire behavior. Amazon droughts have been shown to drive shortterm increases in fuel dynamics (Nepstad et al 2002, Brando et al 2008, Brando et al 2014), but it is unclear how this extra fuel is distributed throughout the year (Chambers et al 2000, Keller et al 2004, Nepstad et al 2002). Post-drought fuel accumulation depends on several factors, including plant phenology (Brando et al 2006, Restrepo-Coupe et al 2016), wood and leaf decomposition (Chambers et al 2000), blowdowns (Chambers et al 2013), and pre- or post-drought storms (Negrón-Juárez et al 2010). In our uncertainty analysis, fire intensity was highest under the assumption that drought-induced increases in fuel



loads occur at the peak of the dry season of a given drought year. This assumption is probably unrealistic, given that dead branches and trees may take years to join the fuel pool. A more reasonable one is that a high proportion of the fine fuel becomes available during the peak fire season (Nepstad *et al* 2004), while larger woody fuels become combustible in the following dry seasons. The lack of field data on postdrought fuel production has limited our ability to accurately represent this process in our model (Brando *et al* 2008).

The second uncertainty relates to the timing of fire occurrence in tropical forests. Our simulations show that the common assumption that daytime and nighttime equally affect fire intensity could lead to an overestimation of fire severity. Nighttime fires tend to be less intense than daytime ones, leading to lower tree mortality and associated losses of carbon stocks, as supported by our simulations. Forest fires do occur mostly during the hottest parts of the day during average years (Cochrane et al 2003), but nighttime fires can burn large tracts of forests during drought years. Balch et al (2015) reported that during the peak fire season of the 2007 drought, low nighttime moisture levels in the forest understory sustained fires throughout the night, allowing fires to spread across large forested areas over multiple days (Brando et al 2014). If nighttime air temperatures increase faster than daytime air temperatures in the near future (Xia et al 2014), nighttime fires could become even more common (Donat and Alexander 2012). However, the lack of information on the extent of nighttime fires precludes a better representation of fires in our model.

In addition to the processes described above, CO<sub>2</sub> fertilization of Amazonian vegetation could play an important, and as yet unknown, role in shaping the region's future fire regime (Swann et al 2016). Theoretically, with increased atmospheric CO<sub>2</sub>, fire intensity and severity could increase or decrease in the future. As CO<sub>2</sub> builds up in the atmosphere, Amazon trees may accumulate more biomass and have denser canopies (Hofhansl et al 2016). Thick canopies tend to retain more moisture in the forest understory, thereby reducing uVPD and associated forest flammability (Ray et al 2005, 2010). More CO<sub>2</sub> in the atmosphere could also increase the resilience of Amazonian forests to droughts by increasing plant water use efficiency (i.e. amount of water transpired per unit of CO2 fixed)(Swann et al 2016). On the other hand, an increase in forest productivity could also increase forest flammability by increasing fuel loads particularly during severe drought years. These complex responses of tropical forests to droughts in a future with elevated CO<sub>2</sub> remain uncertain.

#### Conclusion

This study addressed a key aspect of Amazon fire regimes: how vegetation responses to drought may

increase fire intensity and act synergistically with predicted climate changes. Our simulations indicated that fire-drought interactions can reduce live carbon stocks substantially. Climate changes, combined with the synergistic effects of drought on forest flammability, may strongly influence the stability of tropical forests in the future. Amazon fire models like CARLUC-Fire could be further improved to include the timing of drought-related increases in fuels; the relationship between changes in canopy structure and changes in microclimatic conditions; and the distribution of nighttime versus daytime fires to better represent drought-fire interactions in Amazonia.

#### Acknowledgments

This research was supported by a doctoral fellowship to Bruno L. de Faria, who conducted part of this work in residence at the Woods Hole Research Center, with support from CNPq. He would like to thank the IFNMG and CNPq for financial support, and the Federal University of Viçosa for the PhD opportunity. Funding for this study was also provided by NASA's Terrestrial Ecology Program (#NNX12AK11G), National Science Foundation (Grant #1146206), a CNPq scientific productivity grant to Paulo Brando (No. 307084/ 2013-2), CNPq (No. 400866/2014-5), Gordon and Betty Moore Foundation, the World Bank project 'Valuation of the Amazon Ecosystem Services and Interdisciplinary Research in Earth Science (#NNX14AD29G)'. The authors would like to thank Jennifer Balch, André C Silveira, and Marcos H Costa for their support and assistance with this research, as well as two anonymous reviewers who provided valuable comments on an earlier draft of this paper. The authors thank FAPEMIG (Minas Gerais State Foundation for Research Development) for the financial support.

#### **ORCID** iDS

Bruno L De Faria https://orcid.org/0000-0002-8560-0034

#### References

- Achard F, Eva H D, Mollicone D and Beuchle R 2008 The effect of climate anomalies and human ignition factor on wildfires in Russian boreal forests *Philos. Trans. R. Soc.* B 363 2329–37
- Albini F A 1976 Estimating wildfire behavior and effects *General Technical Report INT-30* Department of Agriculture, Forest Service, Intermountain Forest and Range Experiment Station p 92
- Alencar A, Brando P M, Asner G P and Putz F E 2015 Landscape fragmentation, severe drought, and the new Amazon forest fire regime *Ecol. Appl.* 25 1493–505
- Allen C D 2007 Interactions across spatial scales among forest dieback, fire, and erosion in northern New Mexico landscapes *Ecosystems* 10 797–808



Aragão L E O, Malhi Y, Roman-Cuesta R M, Saatchi S,

- Anderson L O and Shimabukuro Y E 2007 Spatial patterns and fire response of recent Amazonian droughts *Geophys. Res. Lett.* **34** L07701
- Aragão L E and Shimabukuro Y E 2010 The incidence of fire in Amazonian forests with implications for REDD Science 328 1275–8
- Aragão L E, Marengo J A, Cox P M, Betts R A, Costa D, Kaye N and Anderson L O 2016 Assessing the influence of climate extremes on ecosystems and human health in Southwestern Amazon supported by the PULSE-Brazil platform Am. J. Clim. Change 5 399
- Balch J K, Nepstad D C, Brando P M, Curran L M, Portela O, de Carvalho O and Lefebvre P 2008 Negative fire feedback in a transitional forest of southeastern Amazonia *Glob. Change Biol.* 14 2276–87
- Balch J K et al 2015 The susceptibility of southeastern Amazon forests to fire: insights from a large-scale burn experiment *Bioscience* **65** 893–905
- Brando P, Ray D, Nepstad D, Cardinot G, Curran L M and Oliveira R 2006 Effects of partial throughfall exclusion on the phenology of Coussarea racemosa (Rubiaceae) in an east-central Amazon rainforest *Oecologia* **150** 181–9
- Brando P M, Nepstad D C, Davidson E A, Trumbore S E, Ray D and Camargo P 2008 Drought effects on litterfall, wood production and belowground carbon cycling in an Amazon forest: results of a throughfall reduction experiment *Philos. Trans. R. Soc.* B 363 1839–48
- Brando P M, Nepstad D C, Balch J K, Bolker B, Christman M C, Coe M and Putz F E 2012 Fire-induced tree mortality in a neotropical forest: the roles of bark traits, tree size, wood density and fire behavior *Glob. Change Biol.* 18 630–41
- Brando P M, Balch J K, Nepstad D C, Morton D C, Putz F E, Coe M T and Alencar A 2014 Abrupt increases in Amazonian tree mortality due to drought–fire interactions *Proc. Natl Acad. Sci.* 111 6347–52
- Brando P M, Oliveria-Santos C, Rocha W, Cury R and Coe M T 2016 Effects of experimental fuel additions on fire intensity and severity: unexpected carbon resilience of a neotropical forest *Glob. Change Biol.* 22 2516–25
- Byram G M 1959 Combustion of forest fuels Forest Fire: Control and Use ed K P Davis (New York: McGraw-Hill) pp 61–89
- Butt N, de Oliveira P A and Costa M H 2011 Evidence that deforestation affects the onset of the rainy season in Rondonia, Brazil *J. Geophys. Res.* **116** D11120
- Castanho A D, Galbraith D, Zhang K, Coe M T, Costa M H and Moorcroft P 2016 Changing Amazon biomass and the role of atmospheric CO<sub>2</sub> concentration, climate, and land use *Glob. Biogeochem. Cycles* **30** 18–39
- Chambers J Q, Higuchi N, Schimel J P, Ferreira L V and Melack J M 2000 Decomposition and carbon cycling of dead trees in tropical forests of the central Amazon *Oecologia* 122 380–8
- Chambers J Q, Negron-Juarez R I, Marra D M, Di Vittorio A, Tews J, Roberts D and Higuchi N 2013 The steady-state mosaic of disturbance and succession across an old-growth central Amazon forest landscape *Proc. Natl Acad. Sci.* 110 3949–54
- Chen Y, Randerson J T, Morton D C, DeFries R S, Collatz G J, Kasibhatla P S, Giglio L, Jin Y and Marlier M E 2011 Forecasting fire season severity in South America using sea surface temperature anomalies *Science* **334** 787–91
- Chen Y, Morton D C, Jin Y, Collatz G J, Kasibhatla P S, van der Werf G R and Randerson J T 2013 Long-term trends and interannual variability of forest, savanna and agricultural fires in South America *Carbon Manage*. 4 617–38
- Chen Y, Morton D C, Jin Y, Collatz G J, KasibhatlA P S, van der Werf G R, DeFries R S and Randerson J T 2014 Long-term trends and interannual variability of forest, savanna and agricultural fires in South America *Carbon Manage*. 4 617–38
- Cochrane M, Alencar A, Schulze M, Souza C, Nepstad D, Lefebvre P and Davidson E 1999 Positive feedbacks in the fire dynamic of closed canopy tropical forests *Science* 284 1832–5



Cochrane M A and Laurance W F 2002 Fire as a large-scale edge effect in Amazonian forests *J. Trop. Ecol.* **18** 311–25

- Cochrane M A 2003 Fire science for rainforests Nature 421 913–9
   Cox P M, Harris P P, Huntingford C, Betts R A, Collins M, Jones C D and Nobre C A 2008 Increasing risk of Amazonian drought due to decreasing aerosol pollution Nature 453 212–5
- Donat M G and Alexander L V 2012 The shifting probability distribution of global daytime and night-time temperatures *Geophys. Res. Lett.* **39** L14707
- Duffy P B, Brando P, Asner G P and Field C B 2015 Projections of future meteorological drought and wet periods in the Amazon *Proc. Natl Acad. Sci.* **112** 13172–7
- Feldpausch T R, Phillips O L, Brienen R J W, Gloor E, Lloyd J, Lopez-Gonzalez G and Alvarez-Loayza P 2016 Amazon forest response to repeated droughts *Glob. Biogeochem. Cycles* 30 964–2
- Gauthier S, Bernier P, Kuuluvainen T, Shvidenko A Z and Schepaschenko D G 2015 Boreal forest health and global change *Science* **349** 819–22
- Hijmans R J and van Etten J 2014 raster: Geographic data analysis and modeling. *R package version 2* 15
- Hirsch A I, Little W S, Houghton R A, Scott N A and White J D 2004 The net carbon flux due to deforestation and forest re-growth in the Brazilian Amazon: analysis using a process-based model *Glob. Change Biol.* **10** 908–24
- Hofhansl F, Andersen K M, Fleischer K, Fuchslueger L, Rammig A, Schaap K J, Valverde-Barrantes O J and Lapola D M 2016 Amazon forest ecosystem responses to elevated atmospheric CO<sub>2</sub> and alterations in nutrient availability: filling the gaps with model-experiment integration, front *Earth Sci.* 4 1540–9
- Keller M, Palace M, Asner G P, Pereira R and Silva J N M 2004 Coarse woody debris in undisturbed and logged forests in the eastern Brazilian Amazon *Glob. Change Biol.* 10 784–95
- Landsberg J J and Waring R H 1997 A generalised model of forest productivity using simplified concepts of radiationuse efficiency, carbon balance and partitioning *Forest Ecol. Manage.* 95 209–28
- Lewis S L, Brando P, Phillips O L, van der Heijden G M F and Nepstad D 2011 The 2010 Amazon drought *Science* 331 554
- Malhi Y, Aragão L E, Galbraith D, Huntingford C, Fisher R, Zelazowski P and Meir P 2009 Exploring the likelihood and mechanism of a climate-change-induced dieback of the Amazon rainforest *Proc. Natl Acad. Sci.* 106 20610–5
- Meir P et al 2009 The effects of drought on Amazonian rain forests Amazonia and Global Change ed M Keller, M Bustamante, J Gash and P Silva Dias (Washington, DC: American Geophysical Union) (https://doi.org/10.1029/ 2008GM000718)
- Morton D C, Le Page Y, DeFries R, Collatz G J and Hurtt G C 2013 Understorey fire frequency and the fate of burned forests in southern Amazonia *Philos. Trans. R. Soc.* B 368 20120163
- Negrón-Juárez R I, Chambers J Q, Guimaraes G, Zeng H, Raupp C F, Marra D M and Higuchi N 2010 Widespread Amazon forest tree mortality from a single cross-basin squall line event *Geophys. Res. Lett.* **37** L16701
- Nepstad D, Carvalho G, Barros A C, Alencar A, Capobianco J P, Bishop J and Prins E 2001 Road paving, fire regime feedbacks, and the future of Amazon forests *Forest Ecol. Manage.* **154** 395–407
- Nepstad D C *et al* 2002 The effects of partial throughfall exclusion on canopy processes, aboveground production, and biogeochemistry of an Amazon forest *J. Geophys. Res.* **107** 8085
- Nepstad D, Lefebvre P, Lopes da Silva U, Tomasella J, Schlesinger P, Solorzano L, Guerreira Benito J 2004 Amazon drought and its implications for forest flammability and tree growth: a basin-wide analysis *Glob. Change Biol.* **10** 704–17
- Nepstad D C, Stickler C M, Soares-Filho B and Merry F 2008 Interactions among Amazon land use, forests and climate: prospects for a near-term forest tipping point *Philos. Trans. R. Soc.* B 363 1737–46

- Panday P K, Coe M T, Macedo M N, Lefebvre P and de Almeida Castanho A D 2015 Deforestation offsets water balance changes due to climate variability in the Xingu River in eastern Amazonia *J. Hydrol.* **523** 822–9
- Pausas J G and Bradstock R A 2007 Fire persistence traits of plants along a productivity and disturbance gradient in mediterranean shrublands of south-east Australia *Glob. Ecol. Biogeogr.* **16** 330–40
- Peterson D L and Ryan K C 1986 Modeling postfire conifer mortality for long-range planning *Environ. Manage.* 10 797–808
- Phillips O L, Aragão L E, Lewis S L, Fisher J B, Lloyd J, López-González G and Van Der Heijden G 2009 Drought sensitivity of the Amazon rainforest *Science* 323 1344–7
- Powell T L *et al* 2013 Confronting model predictions of carbon fluxes with measurements of Amazon forests subjected to experimental drought *New Phytol.* 200 350–65
- Ray D, Nepstad D and Moutinho P 2005 Micrometeorological and canopy controls of fire susceptibility in a forested Amazon landscape *Ecol. Appl.* 15 1664–78
- Ray D, Nepstad D and Brando P 2010 Predicting moisture dynamics of fine understory fuels in a moist tropical rainforest system: results of a pilot study undertaken to identify proxy variables useful for rating fire danger New Phytol. 187 720–32
- Restrepo-Coupe N *et al* 2016 Do dynamic global vegetation models capture the seasonality of carbon fluxes in the Amazon basin? A data-model intercomparison *Glob. Change Biol.* 23 191–208
- Rowland *et al* 2015 Death from drought in tropical forests is triggered by hydraulics not carbon starvation *Nature* **528** 119–22
- Silva R P D, Louise A, Bueno A B, Celentano D, Ostertag R A, RebeccaCole R J H and Bernhard-Reversat F 2007 Alometría, estoque e dinamica da biomasa de florestas primarias e secundarias na regiao de Manaus (AM) (No. 634.923 G633 1985) Universidade Federal do Amazonas, Manaus (Brasil) Instituto Nacional de Pesquisas da Amazonía, Manaus (Brasil)
- Soares-Filho B S, Rodrigues H O and Costa W L 2010 Modeling environmental dynamics with Dinamica EGO. Centro de Sensoriamento Remoto, Belo Horizonte, Brazil (www.csr. ufmg.br/dinamica) (Accessed: May 2016)
- Soares-Filho B, Silvestrini R, Nepstad D, Brando P, Rodrigues H, Alencar A and Stickler C 2012 Forest fragmentation, climate change and understory fire regimes on the Amazonian landscapes of the Xingu headwaters Landscape Ecol. 27 585–98
- Sperry J S, Wang Y, Wolfe B T, Mackay D S, Anderegg W R, McDowell N G and Pockman W T 2016 Pragmatic hydraulic theory predicts stomatal responses to climatic water deficits *New Phytol.* 212 577–89
- Stephenson A G, Shaby B A, Reich B J and Sullivan A L 2015 Estimating spatially varying severity thresholds of a forest fire danger rating system using max-stable extreme-event modeling J. Appl. Meteorol. Climatol. 54 395–407
- Swann A L S, Hoffman F M, Koven C D and Randerson J T 2016 Plant responses to increasing CO<sub>2</sub> reduce estimates of climate impacts on drought severity *Proc. Natl Acad. Sci.* USA 113 10019–24
- Trumbore S, Brando P and Hartmann H 2015 Forest health and global change *Science* **349** 814–8
- Wagner C V 1973 Height of crown scorch in forest fires Can. J. Forest Res. 3 373-8
- Westerling A L, Hidalgo H G, Cayan D R and Swetnam T W 2006 Warming and earlier spring increase western US forest wildfire activity *Science* 313 940–3
- Xia J Y, Chen J Q, Piao S L, Ciais P, Luo Y Q and Wan S Q 2014 Terrestrial carbon cycle affected by non-uniform climate warming *Geophys. Res. Lett.* **39** L14707
- Zhang K, Almeida Castanho A D, Galbraith D R, Moghim S, Levine N M, Bras R L and Knox R G 2015 The fate of Amazonian ecosystems over the coming century arising from changes in climate, atmospheric CO<sub>2</sub>, and land use *Glob. Change Biol.* 21 2569–87